

No. 84430	<b>Idaho Corporation Annual Report Form</b> <i>Due No Later Than November 1, 1990</i>	2. Registered Agent and Office  C T CORPORATION SYSTEM 300 NORTH 6TH STREET  BOISE ID 83701
Return To  <b>Secretary of State</b> <b>Room 203, Statehouse</b> <b>Boise, ID 83720</b> RECEIVED SEC. OF STATE  NO FEE REQUIRED 20 JUL 23 AM 9 55	1. Mailing Address — <i>Please Correct</i>  REICH & CO., INC. JAY REICH 50 BROADWAY  NEW YORK NY 10004	3. Incorporated Under The Laws of NY  NO: 084430

## 4. Names and Addresses of Officers and Directors

<u>Name</u>	<u>Street or P.O. Address</u>	<u>City</u>	<u>State</u>	<u>Zip</u>
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President:  
Secretary:  
Directors:

SEE ATTACHED SHEETS

*Carl H. Reich, Senior Vice President*  
 CARL H. REICH  
 July 19, 1990

## 5. Nature of Business

BROKERAGE FIRM

6. I certify that this Annual Report has been examined by me and is to the best of my knowledge true, correct and complete.

Signature

Name

(Typed or  
Printed)

*Trafenna Thompson*  
 TRAFENNA THOMPSON

Date

Title

*July 10 '90*  
*Administrative*

# Schedule A of FORM BD

(Revised 4/87)

FOR CORPORATIONS.

OFFICIAL USE

Applicant Name: Reich & Co., Inc.

(Answers in response to ITEM 3 of FORM BD.) Date: March, 1990

Firm CRD No.: 03148

1. This form requests information on the owners and executive officers of the applicant.
2. Please complete for:
  - A. each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer, director, and individuals with similar status or functions, and
  - B. every person who is directly, or indirectly through intermediaries, the beneficial owner of 5% or more of any class of equity security of the applicant.
3. If a person covered by 2(B) above owns applicant indirectly through intermediaries, list all intermediaries and below them, if they are not public reporting companies under Sections 12 or 15(d) of the Securities Exchange Act of 1934 but are:
  - A. corporations, give their shareholders who own 5% or more of a class of equity security, or
  - B. partnerships, give their general partners or any limited special partners who have contributed 5% or more of the partnership's capital.
4. If the intermediary's shareholders or partners listed under 3 above are not individuals, continue up the chain of ownership listing their 5% shareholders, general partners, and 5% limited or special partners until individuals are listed.
5. Ownership codes are: NA - 0 up to 5%      B - 10% up to 25%      D - 50% up to 75%  
 A - 5% up to 10%      C - 25% up to 50%      E - 75% up to 100%
6. Asterisk (\*) names reporting a change in title, status, stock ownership, partnership interest, or control. Double asterisk (\*\*) names new on this filing.
7. Check "Control Person" column if person has "control" as defined in the instructions to this form.
8. Applicants indicating an options business in Item 10 must enter "SRQP" for their Senior Registered Options Principal and "CROP" for their Compliance Registered Options Principal in the "Title or Status" column.

FULL NAME			Beginning Date		Title or Status	Ownership Code	Control Person	CRD Number or, If none, Social Security Number	Official Use Only
Last	First	Middle	Mo.	Yr.					
D.M.F.R. Group (USA) Co. (**)			03	90	-	E	X	-0-	01
Owned by: Catelli, Mario (**)			03	90	Honorary (1) Vice Chairman	C	X	-0-	02
Catelli, Fabio (**)			03	90	" (1)	C	X	-0-	03
Catelli, Dino (**)			03	90	" (1)	A		-0-	04
Desideri, Franco (**)			03	90	Chairm. of Ed., CEO (1)	NA	X	66146	05
Morandini, Giuseppe (**)			03	90	Director	NA		-0-	06
Reich, Jay (*)			08	64	President (1) Ch. Op. Of.	A	X	373112	07
Mann, Norman (*)			08	64	Ch. Fin. Officer	NA	X	318851	08
" "			10	85	SRQP-CROP	NA		"	09
Reich, Louis (*)			08	64	Honorary Chairman	NA			10
Reich, Carl Hubert (*)			06	74	Sr. V.P.	NA		373105	11
Winner, Arthur A. (*)			08	64	Sr. V.P.	NA		470047	12

List below the names reported in the most recent previous filing under this item that are being deleted:

FULL NAME			Ending Date		CRD Number or, If none, Social Security Number
Last	First	Middle	Mo.	Yr.	

**Schedule A of FORM BD (PAGE 2)**  
 (revised 4/87)  
**FOR CORPORATIONS**

OFFICIAL USE

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(Answers in response to ITEM 3 of FORM 803) Date: March, 1990

Firm CRD No.: 03148

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Last	First	Middle	Mo.	Yr.					
Franklin,	Roger	Martin	05	78	Sr. V.P.	NA		213015	01
London,	Theodore		05	78	Sr. V.P.	NA		312102	02
Nussbaum,	Irwin		10	85	V.P.	NA		349942	03
King,	Joan	Mary	10	85	V.P.	NA		269942	04
Ehrenkrantz,	Louis	Richard	10	85	V.P.	NA		76224	05
Abbe,	Colman		07	88	V.P.	NA			06
Barbara,	Albert		07	88	Exec. V.P.	NA			07
Alter,	Hyman	Leonard	08	64	V.P.	NA		4012	08
									09
									10
									11
									12

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	First	Middle	Mo.	Yr.	