| No. W 100138 | | Due no later than Feb 28, 2013 | | 2. Registered Agent and Address (NO PO BOX) | | | |
|--|---|---|-----------------------------------|---|----------------|---------|-------------|
| Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE | | Annual Report Form 1. Mailing Address: Correct in this box if needed. LPL FINANCIAL LLC LAUREN JONES 75 STATE ST 22ND FLOOR BOSTON MA 02109 | | CT CORPORATION SYSTEM 1111 W JEFFERSON STE 530 22ND FLOOR BOISE ID 83702 USA 3. New Registered Agent Signature:* | | | |
| 4. Limited Liability Compa | anies: Enter Nar | nes and Addresses o | f at least one Member or Manager. | | | | |
| Office Held | Name | | Street or PO Address | City | State | Country | Postal Code |
| MANAGER | ROBERT J MOORE | | 9785 TOWNE CENTRE DRIVE | SAN DIEGO | CA | USA | 92121 |
| MANAGER | STEPHANIE L BROWN | | 75 STATE STREET 24TH FLOOR | BOSTON | MA | USA | 02109 |
| MANAGER | MARK S CA | SADY | ONE BEACON STREET 22ND FLOOR | BOSTON | MA | USA | 02108 |
| 5. Organized Under the Laws of: | | 6. Annual Report must be signed.* | | | | | |
| CA W 100138 | | Signature: Stepha | Date: 12/27/2012 | | | | |
| | | Name (type or print): Stephanie L Brown | | | Title: Manager | | |
| Processed 12/27/2012 | * Electronically provided signatures are accepted as original signatures. | | | | | | |