



0003972331



STATE OF IDAHO
Office of the secretary of state, Lawrence Denney
ANNUAL REPORT
 Idaho Secretary of State
 PO Box 83720
 Boise, ID 83720-0080
 (208) 334-2301
 Filing Fee: \$0.00

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File #: 0003972331

Date Filed: 8/14/2020 11:01:30 AM

Entity Name and Mailing Address:

Entity Name: ROTHSCHILD & CO US INC.
 Foreign Name (name in home jurisdiction): ROTHSCHILD & CO US INC.
 The file number of this entity on the records of the Idaho Secretary of State is: 0000231240
 Address: 1251 AVENUE OF THE AMERICAS
 NEW YORK, NY 10020-1104

Entity Details:

Entity Status: Active-Good Standing
 This entity is organized under the laws of: DELAWARE
 If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C73613

The registered agent on record is:

Registered Agent: UNITED STATES CORPORATION COMPANY
 Commercial Registered Agent
 Physical Address: 12550 W EXPLORER DR STE 100
 BOISE, ID 83713
 Mailing Address: 12550 W EXPLORER DR STE 100
 BOISE, ID 83713

Agent or Address Change

Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
+ Christa Schackert	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Harakaran Bedi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ James Neissa	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Daniel Hyams	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Louis Ganser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Pamela Holland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Sarah Slaoui	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Aleksej Moser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Erin Faherty	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

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+ Gideon Volscheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Greg Stolowitz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Victor D'Agata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Joshua Michaels	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Dustin Mondell	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kunal Bhalla	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Simon Pratt	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Vasu Pinnamaneni	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Matthew Chou	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Michael Wilcheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Peter Giallias	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Carlos Takano de Araujo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Justin Kish	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Charles Kerecz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Mary Kalambay	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Charles Delo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Jimmy Bonneau	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Tracy Feldman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ John M. Carroll	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ John M. Carroll	Secretary	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ James Neissa	President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Tracy Feldman	Treasurer	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Federico Mennella	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Jonathan Herbst	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Michael Ostow	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Sumner Farren	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



+ Sara Coyle	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Christopher Gaertner	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Karina Danilyuk	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Robert Berger	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Michael Speller	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Eric Hirschfield	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Peter Moses	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Frank London	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ David Cohen Mintz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Brice Lemonnier	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Aashis Mehta	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Paul Kelpetko	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Dan Skolds	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Homer Parkhill	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Stephen Antinelli	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kevin Glodowski	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Jonathan Slaughter	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Adam Reeder	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Timothy Hotchandani	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Marcelo Messer	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Leonardo LeBrun	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Tero Janne	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kenneth Surjadinata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Keiran Doran	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Hugo Dryland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Walid Khiari	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



+ Siddharth Hariharan	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Edyse Vogel	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Matthew Sperling	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Steven Lipman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ David Baron	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Yusik Choi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ James Ben	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kathryn Taylor	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Faridah Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Scott Kronish	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ David Yi	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Ye Xia	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Arthur Sundry	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Roberson Oliveira	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Andrew Morley	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Thomas McCarthy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Jonathan LaSala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Ho Young Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ John Kang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Domenico Fortuna	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Daniel Flanary	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Gerald Laurenceau	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Eugene Bord	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Suneet Singh	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Nicholas Rossy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Matteo Parenti	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



+ Paul Lindemann	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Brian Lee	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Charles Jang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Charles Huyghues	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Benjamin Goldenberg	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Philip Engel	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Arzan Raimalwala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Joshua Cooper	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Samuel Karotkin	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kunal Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Kerry Dolcimascolo	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Robin Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Rolf Arnold	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Michael Poerschke	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
+ Andrew Smith	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

The annual report must be signed by an authorized signer of the entity.

John M. Carroll

08/14/2020

Sign Here

Date

Job Title: Secretary