

No. C 157382		Due no later than Nov 30, 2010		2. Registered Agent and Address (NO PO BOX)			
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. SAGEPOINT FINANCIAL, INC. NOAH SORKIN ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FLOOR NEW YORK NY 10281		CORPORATION SERVICE COMPANY 12550 W EXPLORER DR STE 100 BOISE ID 83713 USA			
				3. <u>New</u> Registered Agent Signature:*			
4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).							
Office Held	Name	Street or PO Address	City	State	Country	Postal Code	
DIRECTOR	STEVEN E ROTHSTEIN	ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FL	NEW YORK	NY	USA	10281	
TREASURER	STEVEN E ROTHSTEIN	ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FL	NEW YORK	NY	USA	10281	
DIRECTOR	NOAH SORKIN	ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FL	NEW YORK	NY	USA	10281	
SECRETARY	NOAH D SORKIN	ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FL	NEW YORK	NY	USA	10281	
DIRECTOR	ARTHUR TAMBARO	ONE WORLD FINANCIAL CENTER 200 LIBERTY ST, 15TH FL	NEW YORK	NY	USA	10281	
DIRECTOR	JEFFREY M AULD	2800 NORTH CENTRAL AVE SUITE 2100	PHOENIX	AZ	USA	85004	
PRESIDENT	JEFFREY M AULD	2800 NORTH CENTRAL AVE SUITE 2100	PHOENIX	AZ	USA	85004	
5. Organized Under the Laws of:		6. Annual Report must be signed.*					
DE C 157382		Signature: Steven E. Rothstein			Date: 09/27/2010		
		Name (type or print): Steven E. Rothstein			Title: Treasurer		
Processed 09/27/2010		* Electronically provided signatures are accepted as original signatures.					