

|  |                   |   |            |  |         |             |
|--|-------------------|---|------------|--|---------|-------------|
| No. <b>C 191188</b>  |                   | Due no later than May 31, 2016<br><b>Annual Report Form</b>   |            | 2. Registered Agent and Address <b>(NO PO BOX)</b>                 |         |             |
| Return to:<br>SECRETARY OF STATE<br>700 WEST JEFFERSON<br>PO BOX 83720<br>BOISE, ID 83720-0080<br><br><b>NO FILING FEE IF<br/>RECEIVED BY DUE DATE</b> |                   | <b>1. Mailing Address: Correct in this box if needed.</b><br>CETERA FINANCIAL GROUP, INC.<br>DANA THOMSEN<br>400 1ST STREET SOUTH<br>SUITE 300<br>ST CLOUD MN 56301 |            | C T CORPORATION SYSTEM<br>921 S ORCHARD ST STE G<br>BOISE ID 83705 |         |             |
|  |                   |   |            | 3. <u>New</u> Registered Agent Signature:*                         |         |             |
| 4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).                                      |                   |   |            |  |         |             |
| Office Held  | Name              | Street or PO Address  | City       | State  | Country | Postal Code |
| DIRECTOR   | NINA MCKENNA      | 200 N SEPULVEDA BLVD STE 1200   | EL SEGUNDO | CA   | USA     | 90245       |
| DIRECTOR   | MASON ALLEN       | 405 PARK AVE 15TH FLOOR   | NEW YORK   | NY   | USA     | 10022       |
| PRESIDENT  | ADAM ANTONIADES   | 200 N SEPULVEDA BLVD STE 1200   | EL SEGUNDO | CA   | USA     | 90245       |
| SECRETARY  | NINA S MCKENNA    | 200 N SEPULVEDA BLVD STE 1200   | EL SEGUNDO | CA   | USA     | 90245       |
| DIRECTOR   | BRADLEY SCHER     | 405 PARK AVE 15TH FLOOR   | NEW YORK   | NY   | USA     | 10022       |
| TREASURER  | MARK PAUL SHELSON | 400 1ST STREET SOUTH STE 300  | ST CLOUD   | MN   | USA     | 56301       |
| 5. Organized Under the Laws of:<br><br><b>DE<br/>C 191188</b>  |                   | 6. Annual Report must be signed.*<br>Signature: MARK PAUL SHELSON<br>Name (type or print): MARK PAUL SHELSON  |            | Date: 05/09/2016<br>Title: TREASURER                               |         |             |
| Processed 05/09/2016   |                   | * Electronically provided signatures are accepted as original signatures.   |            |  |         |             |