

No. <b>W 120833</b>		<b>Due no later than Jan 31, 2018</b>		2. Registered Agent and Address <b>(NO PO BOX)</b>			
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080  <b>NO FILING FEE IF RECEIVED BY DUE DATE</b>		<b>Annual Report Form</b>  <b>1. Mailing Address: Correct in this box if needed.</b>  CETERA ADVISORS LLC DANA THOMSEN 401 1ST ST S STE 300 ST CLOUD MN 56301		C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705			
				3. <u>New</u> Registered Agent Signature:*			
4. Limited Liability Companies: Enter Names and Addresses of at least one Member or Manager.							
Office Held	Name	Street or PO Address	City	State	Country	Postal Code	
MANAGER	ADAM ANTONIADES	200 N SEPULVEDA BLVD. SUITE 1200	EL SEGUNDO	CA	USA	90245	
MANAGER	BRETT L HARRISON	4600 S SYRACUSE ST STE 600	DENVER	CO	USA	80237	
MANAGER	ERINN FORD	4600 S SYRACUSE ST STE 600	DENVER	CO	USA	80237	
5. Organized Under the Laws of:  <b>DE W 120833</b>		6. Annual Report must be signed.* Signature: GREG OLSON Name (type or print): GREG OLSON Date: 01/09/2018 Title: ASSISTANT SECRETARY					
Processed 01/09/2018		* Electronically provided signatures are accepted as original signatures.					