

# State of Idaho

## Department of State

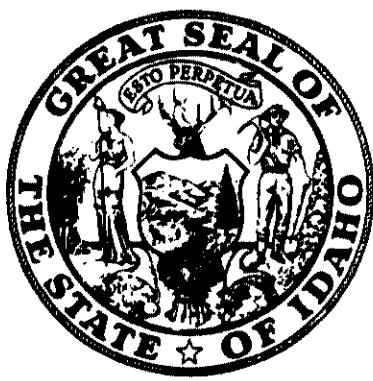
### CERTIFICATE OF AUTHORITY OF

MONY SECURITIES CORP.

I, PETE T. CENARRUSA, Secretary of State of the State of Idaho, hereby certify that duplicate originals of an Application of MONY SECURITIES CORP. for a Certificate of Authority to transact business in this State, duly signed and verified pursuant to the provisions of the Idaho Business Corporation Act, have been received in this office and are found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Certificate of Authority to MONY SECURITIES CORP. to transact business in this State under the name MONY SECURITIES CORP. and attach hereto a duplicate original of the Application for such Certificate.

Dated July 29, 1991



*Pete T. Cenarrusa*

SECRETARY OF STATE

*Elizabeth M. Zabala*

Corporation Clerk

# APPLICATION FOR CERTIFICATE OF AUTHORITY

(Profit Corporation)

To the Secretary of State of Idaho

Pursuant to Section 30-1-110, Idaho Code, the undersigned Corporation hereby applies for a Certificate of Authority to transact business in your State, and for that purpose submits the following statement:

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SECRETARY OF STATE

1. The name of the corporation is MONY Securities Corp.

2. The name which it shall use in Idaho is \_\_\_\_\_

(To be used only when required to avoid a conflict with a name already on file. Must be accompanied by a Board of Directors resolution adopting assumed name in Idaho.)

3. It is incorporated under the laws of New York

4. The date of its incorporation is September 26, 1969 and the period of its duration is perpetual

5. The address of its principal office in the state or country under the laws of which it is incorporated is

1740 Broadway, New York, New York 10019

6. The address to which correspondence should be addressed, if different from that in item 5.

7. The street address of its proposed registered office in Idaho is 300 North 6th Street  
Boise, Idaho 83701

and the name of its proposed registered agent in Idaho at that address is CT CORPORATION SYSTEM

8. The purpose or purposes which it proposes to pursue in the transaction of business in Idaho are:

To engage in the securities business including each and every field, portion and aspect thereof in any and all capacities whatsoever.

9. The names and respective addresses of its directors and officers are:

Name	Office	Address
<u>See Attached Rider</u>		

(continued on reverse)

Name

Office

Address

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10. The corporation accepts and shall comply with the provisions of the Constitution and the laws of the State of Idaho.

11. This Application is accompanied by a certificate of Corporate Status or Existence, duly authenticated by the proper officer of the state or country under the laws of which it is incorporated.

Dated: June 28, 1991

MONY Securities Corp.

(Corporation Name)

By Lawrence F. Sherman

Its President / ~~V.P. & Its Secretary~~ (please specify)

Lawrence F. Sherman

and Robert F. Colby

V.P. & Its Secretary / ~~V.P. & Its Secretary~~ (please specify)

Robert F. Colby

STATE OF New York )

COUNTY OF New York )

I, Gerald J. Carroll Jr.

this 28th day of June, 19 91, personally appeared before

me Lawrence F. Sherman, Robert F. Colby, who being by me first duly sworn, declared that (s)he is the President and Secretary, respectively of MONY Securities Corp.

that (s)he signed the foregoing document as SAME of the corporation and that the statements therein contained are true.

GERALD J. CARROLL, JR.  
Notary Public, State of New York  
No. 4832759  
Qualified in Westchester County  
Commission Expires March 30, 1993

Gerald J. Carroll Jr.  
Notary Public

RIDER

OFFICERS AND DIRECTORS

<u>NAME</u>	<u>TITLE</u>	<u>BUSINESS ADDRESS</u>
LAWRENCE F. SHERMAN	President and Director	500 Frank W. Burr Blvd. Teaneck, NJ 07666
PHILLIP D'AMBRISI	Sr. Vice President- Operations	1 MONY Plaza P.O. Box 4830 Syracuse, NY 13202
EDWARD E. HILL	Sr. Vice President- Compliance	500 Frank W. Burr Blvd. Teaneck, NJ 07666
ROBERT F. COLBY	Vice President, Secretary and Director	1740 Broadway New York, NY 10019
WEAVER H. GAINES	Director	1740 Broadway New York, NY 10019

RIDER

OFFICERS AND DIRECTORS

<u>NAME</u>	<u>TITLE</u>	<u>RESIDENCE ADDRESS</u>
LAWRENCE F. SHERMAN	President and Director	20 Snowdrive New City, NY 10956
PHILLIP D'AMBRISI	Sr. Vice President- Operations	50 Presidential Plaza Syracuse, NY 13202
EDWARD E. HILL	Sr. Vice President- Compliance	7 Sunderland Drive Denville, NJ 07834
ROBERT F. COLBY	Vice President, Secretary and Director	90 E. Brookside Drive Larchmont, NY 10538
WEAVER H. GAINES	Director	424 West End Avenue New York, NY 10024

State of New York }  
Department of State } ss:

Jun 29 9 05 AM '91

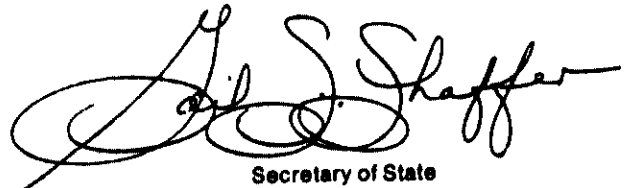
**I Hereby Certify,** that I have made diligent examination of the index of corporation papers filed in this Department for a certificate, order or record of a dissolution of

**MONY SECURITIES CORP.**

the certificate of incorporation of which corporation was filed September 26, 1969, under the name of MONY SALES, INC., with perpetual duration, and certificate changing name of which was filed May 4, 1983,

and that upon such examination, I find no such certificate, order or record, and that so far as indicated by the records of this Department, such corporation is a subsisting corporation.

**Witness** my hand and the official seal of the Department of State at the City of Albany, this 11th day of June one thousand nine hundred and ninety-one

  
Secretary of State