

**STATE OF IDAHO***Office of the secretary of state, Lawerence Denney***ANNUAL REPORT**

Idaho Secretary of State  
PO Box 83720  
Boise, ID 83720-0080  
(208) 334-2301  
Filing Fee: \$0.00

0004650240

*For Office Use Only***-FILED-**

File #: 0004650240

Date Filed: 3/9/2022 11:12:12 AM

Entity Name and Mailing Address:

Entity Name:

CETERA INVESTMENT MANAGEMENT LLC

Foreign Name (name in home jurisdiction):

CETERA INVESTMENT MANAGEMENT LLC

The file number of this entity on the records of the Idaho  
Secretary of State is:

0000380985

Address

TAX DEPARTMENT  
2301 ROSECRANS AVE  
STE 5100  
EL SEGUNDO, CA 90245-5109

Entity Details:

Entity Status

Active-Existing

This entity is organized under the laws of:

DELAWARE

If applicable, the old file number of this entity on the records of  
the Idaho Secretary of State was:

W124286

The registered agent on record is:

Registered Agent

CORPORATION SERVICE COMPANY  
Commercial Registered Agent

Physical Address

1305 12TH AVE RD  
NAMPA, ID 83686

Mailing Address

1305 12TH AVE RD  
NAMPA, ID 83686

Agent or Address Change

 Select if you are appointing a new agent.

Limited Liability Company Managers and Members

Name	Title	Business Address
■ JOSEPH NEARY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245
■ THOMAS TAYLOR	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245
■ THOMAS GOOLEY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245

The annual report must be signed by an authorized signer of the entity.

Job Title: TAX OFFICER

*GARY CHAFFIN*

Sign Here

*03/09/2022*

Date

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