



0004379292

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State

PO Box 83720

Boise, ID 83720-0080

(208) 334-2301

Filing Fee: \$0.00

*For Office Use Only***-FILED-**

File #: 0004379292

Date Filed: 8/11/2021 6:39:45 AM

Entity Name and Mailing Address:

Entity Name: ROTHSCHILD & CO US INC.
Foreign Name (name in home jurisdiction): ROTHSCHILD & CO US INC.
The file number of this entity on the records of the Idaho Secretary of State is: 0000231240
Address: 1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020-1104

Entity Details:

Entity Status: Active-Good Standing
This entity is organized under the laws of: DELAWARE
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C73613

The registered agent on record is:

Registered Agent: UNITED STATES CORPORATION COMPANY
Commercial Registered Agent
Physical Address
12550 W EXPLORER DR STE 100
BOISE, ID 83713
Mailing Address
12550 W EXPLORER DR STE 100
BOISE, ID 83713

Agent or Address Change

☐ Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
■ Christa Schackert	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Harakaran Bedi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ James Neissa	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Daniel Hyams	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Louis Ganser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Pamela Holland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Sarah Slaoui	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Aleksej Moser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Erin Faherty	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



■ Gideon Volscheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Greg Stolowitz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Victor D'Agata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Joshua Michaels	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Dustin Mondell	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kunal Bhalla	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Simon Pratt	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Vasu Pinnamaneni	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Matthew Chou	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Michael Wilcheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Peter Giallilas	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Carlos Takano de Araujo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Justin Kish	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Charles Kerecz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Mary Kalambay	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Charles Delo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Jimmy Bonneau	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Tracy Feldman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ John M. Carroll	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ John M. Carroll	Secretary	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ James Neissa	President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Tracy Feldman	Treasurer	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Federico Mennella	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Jonathan Herbst	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Michael Ostow	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Sumner Farren	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



■ Sara Coyle	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Christopher Gaertner	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Karina Danilyuk	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Robert Berger	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Michael Speller	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Eric Hirschfield	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Peter Moses	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Frank London	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ David Cohen Mintz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Brice Lemonnier	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Aashis Mehta	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Paul Kelpetko	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Dan Skolds	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Homer Parkhill	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Stephen Antinelli	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kevin Glodowski	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Jonathan Slaughter	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Adam Reeder	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Timothy Hotchandani	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Marcelo Messer	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Leonardo LeBrun	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Tero Janne	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kenneth Surjadinata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Keiran Doran	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Hugo Dryland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Walid Khiari	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



■ Siddharth Hariharan	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Edyse Vogel	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Matthew Sperling	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Steven Lipman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ David Baron	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Yusik Choi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ James Ben	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kathryn Taylor	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Faridah Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Scott Kronish	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ David Yi	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Ye Xia	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Arthur Sundry	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Roberson Oliveira	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Andrew Morley	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Thomas McCarthy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Jonathan LaSala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Ho Young Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ John Kang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Domenico Fortuna	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Daniel Flanary	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Gerald Laurenceau	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Eugene Bord	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Suneet Singh	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Nicholas Rossy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Matteo Parenti	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



■ Paul Lindemann	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Brian Lee	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Charles Jang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Charles Huyghues-Despointes	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Benjamin Goldenberg	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Philip Engel	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Arzan Raimalwala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Joshua Cooper	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Samuel Karotkin	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kunal Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Kerry Dolcimascolo	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Robin Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Rolf Arnold	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Michael Poerschke	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
■ Andrew Smith	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

The annual report must be signed by an authorized signer of the entity.
Job Title: Secretary

John M. Carroll 08/11/2021
Sign Here Date