# State of Idaho

Office of the Secretary of State

## AMENDED CERTIFICATE OF AUTHORITY OF

LINSCO/PRIVATE LEDGER CORP.

File Number C 71021

I, BEN YSURSA, Secretary of the State, hereby certify that an Application for Amended Certificate of Authority, has been received in this office and is found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Amended Certificate of Authority to reflect the name change from LINSCO/PRIVATE LEDGER CORP. to LPL FINANCIAL CORPORATION and attach hereto a duplicate of the application for such amended certificate.

Dated: January 9, 2008



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#### APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY

(Instructions on back of application)

08 JAN -9 PM 3:49

SECRETARY OF STATE STATE OF IDAHO

To the Secretary of State of the State of Idaho:

Pursuant to Section 30-1-1504, Idaho Code, the undersigned Corporation hereby applies for an amended certificate of authority to transact business in the State of Idaho and for that purpose submits the following statement.

Complete only applicable items.

1. A Certificate of Authority was issued to the corporation by yo	our office on: 10/31/2005
authorizing it to transact business in the State of Idaho unde	
LINSCO/PRIVATE LEDGER CORP.	
	•
2. Its corporate name has been changed to: LPL Financial Cor	poration
3. The name which it shall use hereafter in the State of Idaho is	
LPL Financial Corporation	•
4. It has changed its jurisdiction of incorporation, without a chan	age of corporate identity to:
Dated: 12/20/07 Corporation Name: LPI	L Financial Corporation
Signature:	D 2 Brown
Typed Name: Stephanie L. Brown	
Capacity: Secretary	
•	Customer Acct #:
	(if using pre-paid account)

Secretary of State use only

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01/09/2008 05:00
CK: 94351 CT: 20168 BH: 1093722
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1 0 20.00 = 28.00 EXPEDITE C # 5

### **State of California**Secretary of State

#### **CERTIFICATE OF FILING**

I, DEBRA BOWEN, Secretary of State of the State of California, hereby certify:

That on the 21st day of December, 2007, there was filed in this office an amendment changing the corporation name from LINSCO/PRIVATE LEDGER CORP., a California corporation, to LPL FINANCIAL CORPORATION

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of January 7, 2008.



Jeha Boven

DEBRA BOWEN Secretary of State