

|                                                                                                                                                        |                   |                                                                                                                                                                                    |          |                                                                                 |         |             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------------------------------------------------------------------|---------|-------------|
| No. <b>C 180603</b>                                                                                                                                    |                   | <b>Due no later than Oct 31, 2017</b>                                                                                                                                              |          | 2. Registered Agent and Address <b>(NO PO BOX)</b>                              |         |             |
| Return to:<br>SECRETARY OF STATE<br>700 WEST JEFFERSON<br>PO BOX 83720<br>BOISE, ID 83720-0080<br><br><b>NO FILING FEE IF<br/>RECEIVED BY DUE DATE</b> |                   | <b>Annual Report Form</b><br><br><b>1. Mailing Address: Correct in this box if needed.</b><br>ROTHSCHILD ASSET MANAGEMENT INC.<br>1251 AVENUE OF THE AMERICAS<br>NEW YORK NY 10020 |          | UNITED STATES CORPORATION COMP<br>12550 W EXPLORER DR STE 100<br>BOISE ID 83713 |         |             |
|                                                                                                                                                        |                   |                                                                                                                                                                                    |          | 3. <u>New</u> Registered Agent Signature:*                                      |         |             |
| 4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).                                      |                   |                                                                                                                                                                                    |          |                                                                                 |         |             |
| Office Held                                                                                                                                            | Name              | Street or PO Address                                                                                                                                                               | City     | State                                                                           | Country | Postal Code |
| VICE PRESIDENT                                                                                                                                         | WILLIAM BERTOLINO | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | TIFFANY LI        | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | RONNIE SANTOS     | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | MICHAEL SULLIVAN  | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | MICHAEL SABINO    | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| DIRECTOR                                                                                                                                               | GARY POWELL       | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| DIRECTOR                                                                                                                                               | JOHN CARROLL      | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| SECRETARY                                                                                                                                              | JOHN CARROLL      | 1251 AVE OF THE AMERICAS                                                                                                                                                           | NEW YORK | NY                                                                              | USA     | 10020       |
| DIRECTOR                                                                                                                                               | MICHAEL J WOODS   | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| DIRECTOR                                                                                                                                               | MICHAEL J WOODS   | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | DANIEL OSHINSKIE  | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| VICE PRESIDENT                                                                                                                                         | ERIC FRASER       | 1251 AVENUE OF THE AMERICAS                                                                                                                                                        | NEW YORK | NY                                                                              | USA     | 10020       |
| 5. Organized Under the Laws of:<br><br><b>NY<br/>C 180603</b>                                                                                          |                   | 6. Annual Report must be signed.*<br>Signature: JOHN M. CARROLL<br>Name (type or print): JOHN M. CARROLL<br><br>Date: 12/28/2017<br>Title: SECRETARY                               |          |                                                                                 |         |             |
| Processed 12/28/2017                                                                                                                                   |                   | * Electronically provided signatures are accepted as original signatures.                                                                                                          |          |                                                                                 |         |             |