



0004530627

**STATE OF IDAHO****Office of the secretary of state, Lawerence Denney  
FOREIGN REGISTRATION STATEMENT (BUSINESS  
CORPORATION)**

Idaho Secretary of State  
PO Box 83720  
Boise, ID 83720-0080  
(208) 334-2301  
Filing Fee: \$100.00

*For Office Use Only***-FILED-**

File #: 0004530627

Date Filed: 12/15/2021 8:05:43 AM

## Foreign Registration Statement (Business Corporation)

Select one: Standard, Expedited or Same Day Service (see  
descriptions below)

Standard (filing fee \$100)

1. The name this business corporation will use in Idaho is:

Type of Corporation Foreign Business Corporation

Entity name USI Securities, Inc.

The name of the business corporation in its home jurisdiction as shown on the attached certificate of existence/good standing:  
USI Securities, Inc.Upload or Mail a one page PDF of a Certificate of Existence/Good  
Standing from the home jurisdiction dated within 90 days of today.

2. Home Jurisdiction

The jurisdiction of formation is: DELAWARE

3. The street address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:

Street Address None

4. The mailing address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:

Mailing Address None

5. The complete street address of the principal office is:

Principal Office Address 100 SUMMIT LAKE DR. SUITE 400  
VALHALLA, NY 10595

6. The mailing address of the principal office is:

Mailing Address 100 SUMMIT LAKE DR  
STE 400  
VALHALLA, NY 10595-1362

7. Registered Agent Name and Address

Registered Agent CT CORPORATION SYSTEM  
Commercial Registered Agent  
Physical Address  
921 S ORCHARD ST  
STE G  
BOISE, ID 83705  
Mailing Address  
921 S ORCHARD ST  
STE G  
BOISE, ID 83705

I affirm that the registered agent appointed has consented to serve as registered agent for this entity.

8. Governors

Name	Title	Address
Joseph Gritzer	President	100 SUMMIT LAKE DR. SUITE 400 VALHALLA, NY 10595
Ernest Newborn	Secretary	100 SUMMIT LAKE DR. SUITE 400 VALHALLA, NY 10595

Signature of individual authorized by the entity to sign:

B0668-7287 12/15/2021 8:05 AM Received by ID Secretary of State Lawerence Denney



*Ernest Newborn*

Sign Here

12/15/2021

Date

Job Title: Secretary

# Delaware

The First State

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**I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "USI SECURITIES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTH DAY OF DECEMBER, A.D. 2021.**

**AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.**

**AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.**

B0668-7289 12/15/2021 8:06 AM Received by ID Secretary of State Lawerence Denney



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You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



Jeffrey W. Bullock, Secretary of State

Authentication: 204908590

Date: 12-08-21