

# *State of Idaho*

Office of the Secretary of State

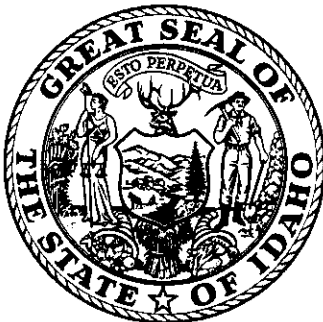
**CERTIFICATE OF AUTHORITY  
OF  
PURSHE KAPLAN STERLING INVESTMENTS, INC.**

File Number C 164164

I, BEN YSURSA, Secretary of State of the State of Idaho, hereby certify that an Application for Certificate of Authority, duly executed pursuant to the provisions of the Idaho Business Corporation Act, has been received in this office and is found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Certificate of Authority to transact business in this State and attach hereto a duplicate of the application for such certificate.

Dated: 27 December 2005



*Ben Yursa*

SECRETARY OF STATE

By

*[Signature]*

**FILED EFFECTIVE**

# APPLICATION FOR CERTIFICATE OF AUTHORITY (For Profit)

(Instructions on Back of Application)

2005 DEC 27 PM 2:34

SECRETARY OF STATE  
STATE OF IDAHO

The undersigned Corporation applies for a Certificate of Authority and states as follows:

1. The name of the corporation is:

Purshe Kaplan Sterling Investments, Inc.

2. The name which it shall use in Idaho is:
- Purshe Kaplan Sterling Investments, Inc.

3. It is incorporated under the laws of:
- New York

4. Its date of incorporation is:
- 12/16/1993

5. The address of its principal office is:

18 Corporate Woods Blvd., Albany NY 12211

6. The address to which correspondence should be addressed, if different from item 5, is:

7. The street address of its registered office in Idaho is:
- C T Corporation System, 300 North 6th Street, Boise, Idaho 83702

and its registered agent in Idaho at that address is: C T Corporation System

8. The names and respective business addresses of its directors and officers are:

Name	Office	Address
<u>J. Peter Purcell</u>	<u>CEO</u>	<u>18 Corporate Woods Blvd. Albany, NY 12211</u>
<u>Peter J. Sheehan</u>	<u>VP</u>	<u>18 Corporate Woods Blvd. Albany, NY 12211</u>
<u>Mary E. Sheehan</u>	<u>VP</u>	<u>18 Corporate Woods Blvd. Albany, NY 12211</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

Dated: 12/15/05Signature: [Signature]Typed Name: J. Peter PurcellCapacity: CEO*[The signer must be a director or an officer of the corporation.]*

Customer Acct # :

(if using pre-paid account)

Secretary of State use only

g:\com\forms\corp  
forms\appforauthority\_profile.pmd  
Revised 06/2005

IDAHO SECRETARY OF STATE  
**12/28/2005 05:00**  
 CK: 2951 CT: 195350 BH: 928824  
 1 @ 100.00 = 100.00 AUTH PRO # 2

**State of New York  
Department of State } ss:**

2005 DEC 27 PM 2:34

SECRETARY OF STATE  
STATE OF NEW YORK

I hereby certify, that the Certificate of Incorporation of PURSHE KAPLAN STERLING INVESTMENTS, INC. was filed on 12/17/1993, under the name of SARATOGA 21ST, LTD., with perpetual duration, and that a diligent examination has been made of the Corporate index for documents filed with this Department for a certificate, order, or record of a dissolution, and upon such examination, no such certificate, order or record has been found, and that so far as indicated by the records of this Department, such corporation is an existing corporation.

A Certificate of Amendment SARATOGA 21ST, LTD., changing its name to PURSHE KAPLAN STERLING INVESTMENTS, INC., was filed 07/03/2003.

\*\*\*

*WITNESS my hand and the official seal  
of the Department of State at the City of  
Albany, this 17th day of November two  
thousand and five.*



*Special Deputy Secretary of State*