

# State of Idaho

Office of the Secretary of State

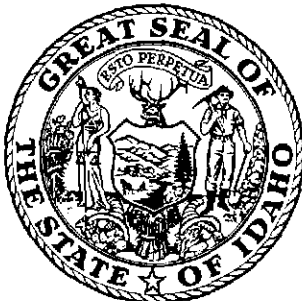
**CERTIFICATE OF REGISTRATION  
OF  
CITIZENS CAPITAL MARKETS, INC.**

File Number C 207885

I, LAWRENCE DENNEY, Secretary of State of the State of Idaho, hereby certify that an application for Foreign Registration Statement, duly executed pursuant to the provisions of the Idaho Uniform Business Organization Code, has been received in this office and is found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Certificate of Registration to transact business in this State and attach hereto a duplicate of the application for such certificate.

Dated: November 23, 2015



*Lawrence Denney*  
SECRETARY OF STATE

By \_\_\_\_\_

*Julie King*



# FOREIGN REGISTRATION STATEMENT

Title 30, Chapter 21, Idaho Code

Filing fee: \$100 typed, \$120 not typed

Complete and submit the form in duplicate.

2015 NOV 23 PM 2:12

SECRETARY OF STATE  
STATE OF IDAHO

1. The name of the entity is: Citizens Capital Markets, Inc.

2. The name which it shall use in Idaho is: \_\_\_\_\_

3. Select the type of entity you wish to register: \_\_\_\_\_ (Enter a name here, only if you are required to adopt an alternate name)

- Business Corporation
- Nonprofit Corporation
- Limited Liability Partnership
- Limited Liability Company
- General Partnership
- General Cooperative Association
- Limited Partnership (Including a limited liability limited partnership)
- Statutory Trust, Business Trust, or Common-law Business Trust

Other: \_\_\_\_\_ (Use "Other" only if your foreign entity type is not listed above, and enter the type here.)

4. Jurisdiction of formation: Massachusetts \_\_\_\_\_ (Provide the domestic jurisdiction where the entity was formed)

5. The address of its principal office is:  
28 State Street, Boston, MA 02109  
 \_\_\_\_\_ (Street Address)  
 \_\_\_\_\_ (Mailing Address, if different)

6. The address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:  
28 State Street, Boston, MA 02109  
 \_\_\_\_\_ (Street Address)  
 \_\_\_\_\_ (Mailing Address, if different)

7. The mailing address to which correspondence should be addressed, if different from item 5, is:  
 \_\_\_\_\_ (Address)

8. Name and street address of registered agent in Idaho:  
Corporation Service Company 12550 W. Explorer Drive, Suite 100, Boise, ID 83713  
 \_\_\_\_\_ (Name) \_\_\_\_\_ (Address)

9. The name, capacity, and mailing address of at least one governor:  
\*See attached Exhibits  
 \_\_\_\_\_ (Name) \_\_\_\_\_ (Capacity) \_\_\_\_\_ (Address)  
 \_\_\_\_\_ (Name) \_\_\_\_\_ (Capacity) \_\_\_\_\_ (Address)

Typed Name: Gary A. Ashjian

Signature: *Gary A. Ashjian*

Capacity: VP/Secretary

Secretary of State use only

IDAHO SECRETARY OF STATE  
**11/23/2015 05:00**  
 CK: PREPAID CT: 1157 BH: 1501590  
 1@ 100.00 = 100.00 FOR REG ST #2

C207885

**EXHIBIT A - Officers**

<b><u>Officer</u></b>	<b><u>Title</u></b>	<b><u>Address</u></b>
Robert Rubino	EVP and President	28 State Street, Boston, MA 02109
Robert Nelson	Executive Vice President	28 State Street, Boston, MA 02109
Greg Suchy	EVP and COO	28 State Street, Boston, MA 02109
David Clark	Executive Vice President	28 State Street, Boston, MA 02109
Phillip Robbins	Senior Vice President	28 State Street, Boston, MA 02109
William Casserly	SVP, Treasurer and CFO	900 Elm Street, Manchester, NH 03101
Theodore Swimmer	Executive Vice President	28 State Street, Boston, MA 02109
Gary Aswad	Executive Vice President	28 State Street, Boston, MA 02109
Claire Costa	EVP and Assistant Treasurer	100 Sockanosset Cross Rd., Cranston, RI 02920
Gary A. Ashjian	VP and Secretary	28 State Street, Boston, MA 02109

**EXHIBIT B - Directors**

**Director**

**Address**

Robert Rubino (Chairman)

28 State Street, Boston, MA 02109

William Casserly

900 Elm Street, Manchester NH 03101

David Clark

28 State Street, Boston, MA 02109

Phillip Robbins

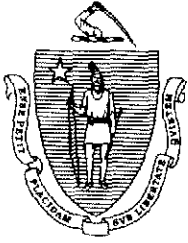
28 State Street, Boston, MA 02109

Gary Aswad

28 State Street, Boston, MA 02109

Greg Suchy

28 State Street, Boston, MA 02109



*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

William Francis Galvin  
Secretary of the  
Commonwealth

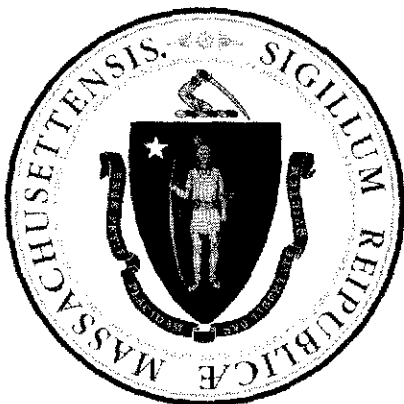
Date: November 19, 2015

To Whom It May Concern :

I hereby certify that according to the records of this office,

**CITIZENS CAPITAL MARKETS, INC.**

is a domestic corporation organized on **December 09, 1996** , under the General Laws of the Commonwealth of Massachusetts. I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 156D section 14.21 for said corporation's dissolution; that articles of dissolution have not been filed by said corporation; that, said corporation has filed all annual reports, and paid all fees with respect to such reports. and so far as appears of record said corporation has legal existence and is in good standing with this office.



In testimony of which,

I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Certificate Number: 15116390310

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

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