

No. W 119750		Due no later than Dec 31, 2014		2. Registered Agent and Address (NO PO BOX)	
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. CETERA INVESTMENT SERVICES LLC DANA THOMSEN 400 1ST ST SOUTH STE 300 ST CLOUD MN 56301		C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE 83705	
				3. <u>New</u> Registered Agent Signature:*	
4. Limited Liability Companies: Enter Names and Addresses of at least one Member or Manager.					
Office Held	Name	Street or PO Address	City	State	Country Postal Code
MANAGER	R LAWRENCE ROTH	200 N SEPULVEDA BLVD. SUITE 1200	EL SEGUNDO	CA	USA 90245
5. Organized Under the Laws of: DE W 119750		6. Annual Report must be signed.* Signature: GREG A. OLSON Name (type or print): GREG A. OLSON Date: 12/10/2014 Title: ASSISTANT SECRETARY			
Processed 12/10/2014		* Electronically provided signatures are accepted as original signatures.			