

No. C 120735		Due no later than Sep 30, 2010		2. Registered Agent and Address (NO PO BOX)			
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. H.D. VEST INVESTMENT SECURITIES, INC. TRACY ALEXANDER ATTN: ACCOUNTING 6333 N STATE HWY 161 SUITE 400 IRVING TX 75038 USA		CORPORATION SERVICE COMPANY 12550 W EXPLORER DR STE 100 BOISE ID 83713 USA			
				3. <u>New</u> Registered Agent Signature:*			
4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).							
Office Held	Name	Street or PO Address	City	State	Country	Postal Code	
SECRETARY	CASEY GRIFFIN	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
PRESIDENT	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
SECRETARY	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
DIRECTOR	JEFFREY KLEIN	6333 N. STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
DIRECTOR	NEAL HEIFETZ	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
TREASURER	JOEL BENNETT	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
DIRECTOR	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
SECRETARY	BRIAN A. STERN	6333 N. STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
SECRETARY	NEAL HEIFETZ	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
SECRETARY	JEFFREY KLEIN	6333 N. STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629	
5. Organized Under the Laws of:		6. Annual Report must be signed.*					
TX C 120735		Signature: Joel Bennett				Date: 11/15/2010	
		Name (type or print): Joel Bennett				Title: Treasurer	
Processed 11/15/2010		* Electronically provided signatures are accepted as original signatures.					