



0004869725



STATE OF IDAHO
Office of the secretary of state, Lawrence Denney
ANNUAL REPORT

Idaho Secretary of State
PO Box 83720
Boise, ID 83720-0080
(208) 334-2301
Filing Fee: \$0.00

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-FILED-
File #: 0004869725
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Entity Name and Mailing Address:
Entity Name: ROTHSCHILD & CO US INC.
Foreign Name (name in home jurisdiction): ROTHSCHILD & CO US INC.
The file number of this entity on the records of the Idaho Secretary of State is: 0000231240
Address: 1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020-1104

Entity Details:
Entity Status: Active-Good Standing
This entity is organized under the laws of: DELAWARE
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C73613

The registered agent on record is:
Registered Agent: UNITED STATES CORPORATION COMPANY
Commercial Registered Agent
Physical Address: 1305 12TH AVE RD
NAMPA, ID 83686
Mailing Address: 1303 12TH AVE RD
NAMPA, ID 83686

Agent or Address Change
 Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
Christa Schackert	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Harakaran Bedi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
James Neissa	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Daniel Hyams	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Louis Ganser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Pamela Holland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Sarah Slaoui	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Aleksej Moser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

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Erin Faherty	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Gideon Volscheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Greg Stolowitz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Victor D'Agata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Joshua Michaels	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Dustin Mondell	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kunal Bhalla	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Simon Pratt	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Vasu Pinnamaneni	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Matthew Chou	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Michael Wilcheck	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Peter Giallilas	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Carlos Takano de Araujo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Justin Kish	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Charles Kerecz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Mary Kalambay	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Charles Delo	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Jimmy Bonneau	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Tracy Feldman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
John M. Carroll	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
John M. Carroll	Secretary	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
James Neissa	President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Tracy Feldman	Treasurer	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Federico Mennella	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



Jonathan Herbst	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Michael Ostow	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Sumner Farren	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Sara Coyle	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Christopher Gaertner	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Karina Danilyuk	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Robert Berger	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Michael Speller	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Eric Hirschfield	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Peter Moses	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Frank London	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
David Cohen Mintz	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Brice Lemonnier	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Aashis Mehta	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Paul Kelpetko	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Dan Skolds	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Homer Parkhill	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Stephen Antinelli	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kevin Glodowski	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Jonathan Slaughter	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Adam Reeder	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Timothy Hotchandani	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Marcelo Messer	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Leonardo LeBrun	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



Tero Janne	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kenneth Surjadinata	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Keiran Doran	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Hugo Dryland	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Walid Khiari	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Siddharth Hariharan	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Edyse Vogel	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Matthew Sperling	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Steven Lipman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
David Baron	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Yusik Choi	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
James Ben	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kathryn Taylor	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Faridah Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Scott Kronish	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
David Yi	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Ye Xia	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Arthur Sundry	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Roberson Oliveira	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Andrew Morley	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Thomas McCarthy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Jonathan LaSala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Ho Young Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
John Kang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



Domenico Fortuna	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Daniel Flanary	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Gerald Laurenceau	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Eugene Bord	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Suneet Singh	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Nicholas Rossy	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Matteo Parenti	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Paul Lindemann	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Brian Lee	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Charles Jang	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Charles Huyghues-Despointes	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Benjamin Goldenberg	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Philip Engel	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Arzan Raimalwala	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Joshua Cooper	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Samuel Karotkin	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kunal Shah	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kerry Dolcimascolo	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Robin Kim	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Rolf Arnold	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Michael Poerschke	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Andrew Smith	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

The annual report must be signed by an authorized signer of the entity.
Job Title: Secretary



John M. Carroll

Sign Here

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Date

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