

# State of Idaho

Office of the Secretary of State

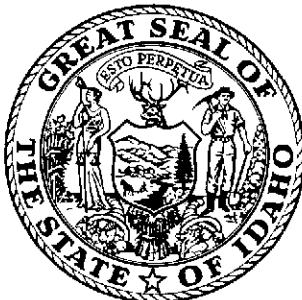
**CERTIFICATE OF REGISTRATION  
OF  
UMPQUA INVESTMENTS, INC.**

File Number C 208775

I, LAWRENCE DENNEY, Secretary of State of the State of Idaho, hereby certify that an application for Foreign Registration Statement, duly executed pursuant to the provisions of the Idaho Uniform Business Organization Code, has been received in this office and is found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Certificate of Registration to transact business in this State and attach hereto a duplicate of the application for such certificate.

Dated: February 8, 2016



*Lawrence Denney*  
SECRETARY OF STATE

By *Ida B. Denney*



# FOREIGN REGISTRATION STATEMENT

Title 30, Chapter 21, Idaho Code

Filing fee: \$100 typed, \$120 not typed

Complete and submit the form in duplicate.

2016 FEB -8 AM 9:31

SECRETARY OF STATE  
STATE OF IDAHO

1. The name of the entity is: Umpqua Investments Inc.
2. The name which it shall use in Idaho is: \_\_\_\_\_  
(Enter a name here, only if you are required to adopt an alternate name)
3. Select the type of entity you wish to register:
 

<input checked="" type="checkbox"/> Business Corporation	<input type="checkbox"/> General Partnership
<input type="checkbox"/> Nonprofit Corporation	<input type="checkbox"/> General Cooperative Association
<input type="checkbox"/> Limited Liability Partnership	<input type="checkbox"/> Limited Partnership (Including a limited liability limited partnership)
<input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Statutory Trust, Business Trust, or Common-law Business Trust
- ☐ Other: \_\_\_\_\_  
(Use "Other" only if your foreign entity type is not listed above, and enter the type here.)
4. Jurisdiction of formation: Oregon  
(Provide the domestic jurisdiction where the entity was formed)
5. The address of its principal office is:  
One SW Columbia St. Suite 300 Portland, OR 97258  
(Street Address)  
\_\_\_\_\_  
(Mailing Address, if different)
6. The address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:  
One SW Columbia St. Suite 300 Portland, OR 97258  
(Street Address)  
\_\_\_\_\_  
(Mailing Address, if different)
7. The mailing address to which correspondence should be addressed, if different from item 5, is:  
\_\_\_\_\_  
(Address)
8. The name of the registered agent and street address of registered agent in Idaho:  
C T Corporation System 921 S Orchard Street, Suite G, Boise, Idaho 83705  
(Name) (Address)
9. The name, capacity, and mailing address of at least one governor:  

<u>Jeani Winterbourne</u>	<u>President</u>	<u>One SW Columbia St. Suite 300 Portland, OR 97258</u>
(Name)	(Capacity)	(Address)

_____ (Name)	_____ (Capacity)	_____ (Address)
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Signature: [Signature]

Typed Name: Kathy Rasmussen

Capacity: AVP/Compliance

Secretary of State use only

IDAHO SECRETARY OF STATE

02/08/2016 05:00

CK: 74657 CT: 243412 BH: 1512569  
1@ 100.00 = 100.00 FOR REG ST #2

C 208775

# *State of Oregon*

*OFFICE OF THE SECRETARY OF STATE  
Corporation Division*

## **Certificate of Existence 819H906U2**

*I, JEANNE P. ATKINS, SECRETARY OF STATE, and Custodian of the Seal of said State, do hereby certify:*

**UMPQUA INVESTMENTS, INC.**

*is*

**Incorporated**

*under the laws of The State of Oregon*

*and is active on the records of the Corporation Division as of the date of this certificate.*



*In Testimony Whereof, I have hereunto set  
my hand and affixed hereto the Seal of the  
State of Oregon.*

*Jeanne P. Atkins*

**JEANNE P. ATKINS, SECRETARY OF STATE**

*1/21/2016*