







## **STATE OF IDAHO**

Office of the secretary of state, Lawerence Denney ANNUAL REPORT

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-FILED-

File #: 0004535281

Date Filed: 12/19/2021 8:25:28 AM

Entity Name: CapFinancial Securities, LLC Foreign Name (name in home jurisdiction): The file number of this entity on the records of the Idaho Secretary of State is:  Address 4208 SIX FORKS RD STE 1770 RALEIGH, NC 27609-5734  Entity Details: Entity Status Active-Existing NORTH CAROLINA If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered Agent CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change Select if you are appointing a new agent.  Limited Liability Company Managers and Members  The Capfinancial Group, LLC Member 4208-SIX-FORKS-ROAD 4790 RALEIGH, NC 27609  James F-Miller Manager 4208-SIX-FORKS-ROAD 4790 RALEIGH, NC 27609  Benjamin Geldstein Member 4208-SIX-FORKS-ROAD 4790 RALEIGH, NC 27609  Denise Buchanan Member 4208 SIX-FORKS RD STE 1700 RALEIGH, NC 27609  Fielding Miller Member 4208 SIX-FORKS RD STE 1700 RALEIGH, NC 27609-5734  Fielding Miller Member 4208 SIX-FORKS RD STE 1700 RALEIGH, NC 27609-5734					
The file number of this entity on the records of the Idaho Secretary of State is:  Address  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Entity Details: Entity Status  Active-Existing NORTH CAROLINA  If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered agent on record is:  Registered Agent  CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name  Title  Business Address  The Capfinancial-Group, LLC  Member  4208 SIX FORKS-ROAD 1770 RALEIGH, NC 27609  Benjamin Geldstein  Manager  4208 SIX FORKS-ROAD 1770 RALEIGH, NC 27609  RALEIGH, NC 27609  The Capfinancial-Group Address  Agent or Address Change	Entity Name:		CapFinancial Securities, LLC		
Address 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Entity Details: Entity Status Active-Existing NORTH CAROLINA  If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered Agent CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  The Capfinancial-Group, LLC Member 4208-SIX-FORKS-ROAD 1760 RALEIGH, NC 27609  James F-Miller Manager 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609  Benjamin Geldstein Manager 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609  Denise Buchanan Member 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609  Fielding Miller Member 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609  Fielding Miller 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609-S734  Fielding Miller Member 4208-SIX-FORKS-ROAD 1770 RALEIGH, NC 27609-S734  Fielding Miller Member 4208-SIX-FORKS-RO STE 1770 RALEIGH, NC 27609-S734	Foreign Name (name in home jurisdiction):				
STE 1700 RALEIGH, NC 27609-5734  Entity Details: Entity Status Active-Existing NORTH CAROLINA If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered agent on record is: Registered Agent CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name Title Business Address  The Capfinancial Group, LLC Member 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609		the Idaho Secretary	0003714355		
RALEIGH, NC 27609-5734  Entity Details: Entity Status  Active-Existing NORTH CAROLINA  If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered agent on record is:  Registered Agent  CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Titlis  Business Address  Agent Or Address Change  Agent Or Address Change  Agent Or Address Change  Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Member  Titlis  Business Address  4208 SIX FORKS ROAD 4709 RALEIGH, NC 27609  RALEIGH, NC 27609  Benjamin-Geldstein  Member  4208 SIX FORKS ROAD 1709 RALEIGH, NC 27609  RALEIGH, NC 27609  RALEIGH, NC 27609  Fielding Miller  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734	Address		4208 SIX FORKS RD		
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Entity Status Active-Existing This entity is organized under the laws of: If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered agent on record is:  Registered Agent  CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Name Title Business Address  14208 SIX-FORKS-ROAD 14790 RALEIGH, NC 27609  Agent or Address Change Agent or Address Change Select if you are appointing a new agent.  Member 4208 SIX-FORKS-ROAD 14790 RALEIGH, NC 27609  Benjamin-Goldstein Member 4208 SIX-FORKS-ROAD 14790 RALEIGH, NC 27609  RALEIGH, NC 27609  The Identity of the			RALEIGH,	, NC 27009-3734	
This entity is organized under the laws of: If applicable, the old file number of this entity on the records of the Idaho Secretary of State was:  The registered agent or record is:  Registered Agent  Agent or Address  Agent or Address Change  Select if you are appointing a new agent.  Corporation Service Company Name  Title  Business Address  1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Member  Title  Business Address  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Benjamin Geldstein  Manager  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Title  Fielding Miller  Member  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609-5734  Member  4208 SIX FORKS RO STE 1700 RALEIGH, NC 27609-5734  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734			A attion Full	a Maria	
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Idaho Secretary of State was:  The registered agent on record is:  Registered Agent  CORPORATION SERVICE COMPANY Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Algent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name  Title Business Address  1 The Capfinancial Group, LLC Member 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Benjamin Goldstein  Manager 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Denise Buchanan  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609  Fielding Miller  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734			NORTH C	AROLINA	
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Commercial Registered Agent Physical Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change Select if you are appointing a new agent.  Imited Liability Company Managers and Members    Title					
Physical Address   1303 12TH AVE RD   NAMPA, ID 83686   Mailing Address   1303 12TH AVE RD   NAMPA, ID 83686   Mailing Address   1303 12TH AVE RD   NAMPA, ID 83686   NAMPA,	Registered Agent				
1303 12TH AVE RD NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Name  Title  Business Address  1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Name  Title  Business Address  Member  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Benjamin Geldstein  Manager  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734					
NAMPA, ID 83686 Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Limited Liability Company Managers and Members  Name  Title Business Address  Agent or Address Change  The Capfinancial Group, LLC  Member 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  James F-Miller  Manager 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Benjamin Goldstein  Manager 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Denise Buchanan  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Fielding Miller  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734			-		
Mailing Address 1303 12TH AVE RD NAMPA, ID 83686  Agent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name  Title Business Address  The Capfinancial Group, LLC  Member 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Benjamin Geldstein  Manager 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Denise Buchanan  Member 4208 SIX FORKS RO STE 1700 RALEIGH, NC 27609  Fielding Miller  Member 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734					
Agent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name  Title  Business Address  Agent or Address  Name  Title  Business Address  Agent or Address  Name  Title  Business Address  Agent or Address  Member  Agent or Address  Name  Title  Business Address  Agent or Address  Address  Member  Agent or Address  Agent or Address  Name  Title  Business Address  Agent or Address  Address  Agent or Address  Name  Agent or Address  Agent or Address  Name  Agent or Address  Address  Address  Address  Address  Address  Agent or Address  Address  Address  Address  Address  Address  Address  Address  Address  Agent or Address  Address  Address  Address  Address  Agent or Address  Ad					
Agent or Address Change  Select if you are appointing a new agent.  Imited Liability Company Managers and Members  Name  Title  Business Address  4208 SIX FORKS ROAD 4700 RALEIGH, NG 27609  James F Miller  Manager  Manager  4208 SIX FORKS ROAD 4700 RALEIGH, NG 27609  Benjamin Goldstein  Manager  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Fielding Miller  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734					
Select if you are appointing a new agent.    Select if you are appointing a new agent.			NAMPA, ID 83686		
Name   Title   Business Address	gent or Address Change				
Name  Title  Business Address  Hember  The Capfinancial Group, LLC  Member  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Manager  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Benjamin Goldstein  Manager  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS RO STE 1700 RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734	Select if you are appointing a new agent.				
The Capfinancial Group, LLC  Member  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Manager  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Manager  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  Fielding Miller  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Member  4208 SIX FORKS RD STE 1700 STE 1700					
## Fielding Miller    Application	imited Liability Company Managers and Members				
## Fielding Miller    Application			Title	Business Address	
James F Miller       Manager       4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609         Benjamin Goldstein       Manager       4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609         + Denise Buchanan       Member       4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734         + Fielding Miller       Member       4208 SIX FORKS RD STE 1700	Name				
## Fielding Miller  ### Hands   ### ### ### ### ### #### ##########	Name			4208 SIX FORKS ROAD 1700	
RALEIGH, NC 27609  Benjamin Goldstein  Manager  4208 SIX FORKS ROAD  1700  RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS RD  STE 1700  RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD  STE 1700  RALEIGH, NC 27609-5734	Name			4208 SIX FORKS ROAD 1700	
Benjamin Goldstein  Manager  4208 SIX FORKS ROAD  1700  RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS RD  STE 1700  RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD  STE 1700  RALEIGH, NC 27609-5734	Name  The Capfinancial Group, LLC	Membe	er	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD	
1700	Name  The Capfinancial Group, LLC	Membe	er	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700	
RALEIGH, NC 27609  Denise Buchanan  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD STE 1700	Name  The Capfinancial Group, LLC	Membe	er	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700	
+ Denise Buchanan  Member  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  + Fielding Miller  Member  4208 SIX FORKS RD STE 1700	Name  The Capfinancial Group, LLC  James F Miller	Membe Manag	er ger	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD	
STE 1700 RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD STE 1700	Name  The Capfinancial Group, LLC  James F Miller	Membe Manag	er ger	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700	
RALEIGH, NC 27609-5734  Fielding Miller  Member  4208 SIX FORKS RD STE 1700	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein	Membe Manag Manag	<del>ger</del>	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609	
STE 1700	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein	Membe Manag Manag	<del>ger</del>	4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS RD	
STE 1700	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein	Membe Manag Manag	<del>ger</del>	4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609  4208 SIX FORKS RD STE 1700	
	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein  Denise Buchanan	Membe Manag Manag Membe	<del>ger</del> <del>ger</del> er	4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS ROAD 4700 RALEIGH, NC 27609 4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734	
	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein  Denise Buchanan	Membe Manag Manag Membe	<del>ger</del> <del>ger</del> er	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  4208 SIX FORKS RD	
■ Manager 4208 SIX FORKS RD	Name  The Capfinancial Group, LLC  James F Miller  Benjamin Goldstein  Denise Buchanan	Membe Manag Manag Membe	<del>ger</del> <del>ger</del> er	4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS ROAD 1700 RALEIGH, NC 27609  4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734  4208 SIX FORKS RD STE 1700 STE 1700	

STE 1700

RALEIGH, NC 27609-5734



+ Wilson s Hoyle	Member	4208 SIX FORKS RD STE 1700 RALEIGH, NC 27609-5734	
The annual report must be signed by an authorized signer of the entity.  Job Title: Manager			
Mary Earls Sign Here		12/19/2021 Date	