



# APPLICATION FOR REGISTRATION OF FOREIGN LIMITED LIABILITY COMPANY

(Instructions on back of application)

FILED EFFECTIVE

03 DEC 31 AM 10:56  
SECRETARY OF STATE  
STATE OF IDAHO

1. The name of the limited liability company is:

Pruco Securities, LLC

2. If the name of the limited liability company is not permissible or is not available in Idaho, the name the foreign limited liability company will use in Idaho is:

3. The jurisdiction under whose laws the limited liability company is organized is: New Jersey  
and the date of its formation was: September 22, 2003

4. The name and address of the registered agent in Idaho is: CT Corporation System  
300 North 6th Street, Boise, Idaho 83702

5. The address of the limited liability company's office in the jurisdiction under whose laws it is organized is:

213 Washington Street, Newark, NJ 07102

6. The address of the limited liability company's principal office, if other than the address in #5 above, is:

7. The address to which correspondence should be addressed is:

213 Washington Street, Newark, NJ 07102

8. Signature of a manager, if any, or a member if there are no managers.

Signature

Typed Name Georgia T. Garmocki, on behalf of its Member

Manager ☐ Member ☒  
The Prudential Insurance  
Company of America

Secretary of State use only

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STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

PRUCO SECURITIES, LLC

0600180340

*With the Previous or Alternate Name*

**PRUDENTIAL FINANCIAL PLANNING SERVICES (Alternate  
Name)**

*I, the Treasurer of the State of New Jersey,  
do hereby certify that the above-named  
New Jersey Domestic Limited Liability Company was  
registered by this office on September 22, 2003.*

*As of the date of this certificate, said business  
continues as an active business in good standing  
in the State of New Jersey, and its Annual Reports  
are current.*

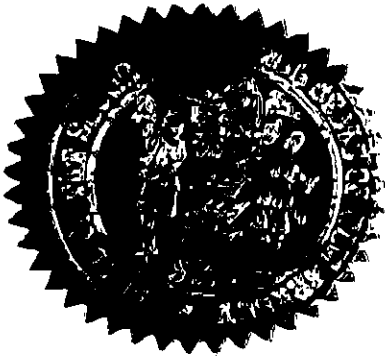
*I further certify that the registered agent and  
registered office are:*

*Corporation Trust Company  
820 Bear Tavern Road  
Trenton, NJ 08628*

*Continued on next page . . .*

STATE OF NEW JERSEY  
DEPARTMENT OF TREASURY  
SHORT FORM STANDING

PRUCO SECURITIES, LLC



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and  
affixed my Official Seal  
at Trenton, this  
19th day of December, 2003

A handwritten signature in cursive script, appearing to read "John E. McCormac".

John E McCormac, CPA  
State Treasurer