

No. C 120735		Due no later than Sep 30, 2012		2. Registered Agent and Address (NO PO BOX)		
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. H.D. VEST INVESTMENT SECURITIES, INC. LING SU H.D. VEST ACCOUNTING P. O. BOX 140189 IRVING TX 75014-0189 USA		CORPORATION SERVICE COMPANY 12550 W EXPLORER DR STE 100 BOISE ID 83713 USA		
				3. <u>New</u> Registered Agent Signature:*		
4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).						
Office Held	Name	Street or PO Address	City	State	Country	Postal Code
DIRECTOR	BRIAN GOLSON	FOUR EMBARCADERO CENTER STE 3610	SAN FRANCISCO	CA	USA	94111
DIRECTOR	SPENCER P. HOFFMAN	150 N RADNOR CHESTER RD STE A200	RADNOR	PA	USA	19087
DIRECTOR	ANDREW DODSON	FOUR EMBARCADERO CENTER STE 3610	SAN FRANCISCO	CA	USA	94111
DIRECTOR	MARSHALL BARTLETT	500 BOYLSTON ST STE 1640	BOSTON	MA	USA	02116
SECRETARY	CASEY GRIFFIN	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
SECRETARY	BRIAN A. STERN	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
PRESIDENT	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
SECRETARY	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
SECRETARY	JEFFREY KLEIN	6333 N. STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
SECRETARY	NEAL HEIFETZ	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
TREASURER	JOEL BENNETT	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
DIRECTOR	ROGER C OCHS	6333 N STATE HWY 161 STE 400	IRVING	TX	USA	75038-1629
5. Organized Under the Laws of: TX C 120735		6. Annual Report must be signed.* Signature: Joel Bennett Name (type or print): Joel Bennett Date: 09/12/2012 Title: Treasurer				
Processed 09/12/2012		* Electronically provided signatures are accepted as original signatures.				