



0004289270

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State

PO Box 83720

Boise, ID 83720-0080

(208) 334-2301

Filing Fee: \$0.00

For Office Use Only

-FILED-

File #: 0004289270

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Entity Name and Mailing Address:

Entity Name: CETERA FINANCIAL GROUP, INC.
Foreign Name (name in home jurisdiction): CETERA FINANCIAL GROUP, INC.
The file number of this entity on the records of the Idaho Secretary of State is: 0000577292
Address: DANA THOMSEN
200 N PACIFIC COAST HWY
STE 1200
EL SEGUNDO, CA 90245-5605

Entity Details:

Entity Status: Active-Good Standing
This entity is organized under the laws of: DELAWARE
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C191188

The registered agent on record is:

Registered Agent: CORPORATION SERVICE COMPANY
Commercial Registered Agent
Physical Address
12550 W EXPLORER DR STE 100
BOISE, ID 83713
Mailing Address
12550 W EXPLORER DR STE 100
BOISE, ID 83713

Agent or Address Change

☐ Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
ADAM ANTONIADES	President	655 WEST BROADWAY 12TH FL EL SEGUNDO, CA 90245
☒ BRETT HARRISON	Vice President	4600 S SYRACUSE ST STE 600 DENVER, CO 80237
☒ GREG OLSON	Secretary	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO, CA 90245
☒ MARK SHELSON	Treasurer	400 1ST ST SOUTH STE 300 ST CLOUD, MN 56304
☒ THOMAS TAYLOR	Vice President	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO, CA 90245
☒ LISA GOK	Secretary	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO, CA 90245

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☒ KEITH SHORES	Treasurer	656 WEST BOADWAY 12TH FL SAN DIEGO, CA 92101
☒ THOMAS GOOLEY	Director	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO, CA 90245
☒ JEFFERY BUCHHEISTER	Director	656 WEST BOADWAY 12TH FL SAN DIEGO, CA 92101
☒ ADAM ANTONIADES	Director	656 WEST BOADWAY 12TH FL SAN DIEGO, CA 92101

The annual report must be signed by an authorized signer of the entity.
Job Title: TAXOFFICER

GARY CHAFFIN 05/23/2021

Sign Here _____ Date _____