



# AMENDMENT OF FOREIGN REGISTRATION : -FILED-ENT

Title 30, Chapter 21, Idaho Code

Base Filing fee: \$30.00 + \$20.00 for manual processing (form must be type

For Office Use Only

File #: 0005917567

Date Filed: 10/2/2024 2:41:00 PM

1. Entity name: EF Legacy Securities, LLC

2. The entity name is amended to: RetireOne Investment Services, LLC

a. If the new name is not available or permissible in Idaho, the name to be used in Idaho is:

3. The entity type is amended to:

- |  |   |
|--|---|
| <input type="checkbox"/> Business Corporation          | <input type="checkbox"/> General Partnership  |
| <input type="checkbox"/> Nonprofit Corporation         | <input type="checkbox"/> General Cooperative Association  |
| <input type="checkbox"/> Limited Liability Partnership | <input type="checkbox"/> Limited Partnership (Including a limited liability limited partnership |
| <input type="checkbox"/> Limited Liability Company     | <input type="checkbox"/> Statutory Trust, Business Trust, or Common-law Business Trust          |
| <input type="checkbox"/> Other: _____                  |   |

(Provide unlisted foreign entity type here)

4. The entity's jurisdiction is amended to: \_\_\_\_\_

5. The street and mailing address(es) of its principal office is amended to:

222 South First Street, Suite 600, Louisville, KY 40202

(Street Address)

(Mailing Address, if different)

6. The name, capacity, and mailing address of the governor(s) is amended to:

(Name) (Capacity) (Address)

(Name) (Capacity) (Address)

Secretary of State use only

Typed Name: Edward J. Mercier

Signature: \_\_\_\_\_

Capacity: Manager

B09330-6115 10/02/2024 2:41 PM Received by Office of the Idaho Secretary of State

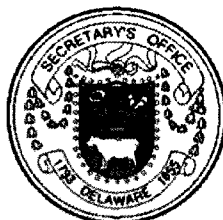
# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "EF LEGACY SECURITIES, LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "RETIREONE INVESTMENT SERVICES, LLC" ON THE TWENTY-SEVENTH DAY OF SEPTEMBER, A.D. 2024, AT 4:03 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID LIMITED LIABILITY COMPANY IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE NOT HAVING BEEN CANCELLED OR REVOKED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.



5741138 8320  
SR# 20243834540

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JWB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 204525553  
Date: 10-01-24

B09330-6116 10/02/2024 2:41 PM Received by Office of the Idaho Secretary of State