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|--------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-------------------------------------------------------------------------------------|---------|-------------|--|
| No. <b>C 188509</b>                                                                                                                                    |                 | <b>Due no later than Sep 30, 2012</b>                                                                                                                                                                  |              | <b>2. Registered Agent and Address (NO PO BOX)</b>                                  |         |             |  |
| Return to:<br>SECRETARY OF STATE<br>700 WEST JEFFERSON<br>PO BOX 83720<br>BOISE, ID 83720-0080<br><br><b>NO FILING FEE IF<br/>RECEIVED BY DUE DATE</b> |                 | <b>Annual Report Form</b><br><br><b>1. Mailing Address: Correct in this box if needed.</b><br><br>FIRST ALLIED SECURITIES, INC.<br>MARION VOMHOF<br>655 W BROADWAY<br>11TH FLOOR<br>SAN DIEGO CA 92101 |              | CORPORATION SERVICE COMPANY<br>12550 W EXPLORER DR STE 100<br>BOISE ID 83713<br>USA |         |             |  |
|                                                                                                                                                        |                 |                                                                                                                                                                                                        |              | 3. <u>New</u> Registered Agent Signature:*                                          |         |             |  |
| 4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).                                      |                 |                                                                                                                                                                                                        |              |                                                                                     |         |             |  |
| Office Held                                                                                                                                            | Name            | Street or PO Address                                                                                                                                                                                   | City         | State                                                                               | Country | Postal Code |  |
| TREASURER                                                                                                                                              | JANICE DOZA     | 15455 CONWAY ROAD                                                                                                                                                                                      | CHESTERFIELD | MO                                                                                  | USA     | 63017       |  |
| PRESIDENT                                                                                                                                              | ADAM ANTONIADES | 655 W BROADWAY 11TH FLOOR                                                                                                                                                                              | SAN DIEGO    | CA                                                                                  | USA     | 92101       |  |
| SECRETARY                                                                                                                                              | ROBERT MOSES    | 565 5TH AVE 20TH FLOOR                                                                                                                                                                                 | NEW YORK     | NY                                                                                  | USA     | 10017       |  |
| 5. Organized Under the Laws of:<br><br><b>NY<br/>C 188509</b>                                                                                          |                 | 6. Annual Report must be signed.*<br>Signature: Marion Vomhof<br>Name (type or print): Marion Vomhof                                                                                                   |              |                                                                                     |         |             |  |
| Date: 08/31/2012<br>Title: Attorney                                                                                                                    |                 |                                                                                                                                                                                                        |              |                                                                                     |         |             |  |
| Processed 08/31/2012                                                                                                                                   |                 | * Electronically provided signatures are accepted as original signatures.                                                                                                                              |              |                                                                                     |         |             |  |