

No. W 120751		Due no later than Jan 31, 2014		2. Registered Agent and Address (NO PO BOX)	
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. CETERA INVESTMENT ADVISERS LLC DANA THOMSEN 401 FIRST ST SOUTH STE 300 ST CLOUD MN 56301		C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705 USA	
				3. <u>New</u> Registered Agent Signature:*	
4. Limited Liability Companies: Enter Names and Addresses of at least one Member or Manager.					
Office Held	Name	Street or PO Address	City	State	Country Postal Code
MANAGER	VALERIE G BROWN	200 N SEPULVEDA BLVD SUITE 1200	EL SEGUNDO	CA	USA 90245
5. Organized Under the Laws of: DE W 120751		6. Annual Report must be signed.* Signature: Greg A. Olson Name (type or print): Greg A. Olson Date: 01/23/2014 Title: Assistant Secretary			
Processed 01/23/2014		* Electronically provided signatures are accepted as original signatures.			