

# State of Idaho

## Department of State

### CERTIFICATE OF AUTHORITY OF

CIGNA SECURITIES, INC.

I, PETE T. CENARRUSA, Secretary of State of the State of Idaho, hereby certify that duplicate originals of an Application of CIGNA SECURITIES, INC. for a Certificate of Authority to transact business in this State, duly signed and verified pursuant to the provisions of the Idaho Business Corporation Act, have been received in this office and are found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Certificate of Authority to CIGNA SECURITIES, INC. to transact business in this State under the name CIGNA SECURITIES, INC. and attach hereto a duplicate original of the Application for such Certificate.

Dated: July 15, 1993



*Pete T. Cenarrusa*  
SECRETARY OF STATE

By *Long J. Clark*

# APPLICATION FOR CERTIFICATE OF AUTHORITY

JUL 15 1 56 PM '93  
SECRETARY OF STATE

(Profit Corporation)

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To the Secretary of State of Idaho

Pursuant to Section 30-1-110, Idaho Code, the undersigned Corporation hereby applies for a Certificate of Authority to transact business in your State, and for that purpose submits the following statement:

1. The name of the corporation is CIGNA Securities, Inc.
2. The name which it shall use in Idaho is \_\_\_\_\_  
(To be used only when required to avoid a conflict with a name already on file. Must be accompanied by a Board of Directors resolution adopting assumed name in Idaho.)
3. It is incorporated under the laws of Connecticut
4. The date of its incorporation is 8/3/67 and the period of its duration is perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is 900 Cottage Grove Road, Bloomfield, CT 06002
6. The address to which correspondence should be addressed, if different from that in item 5. \_\_\_\_\_
7. The street address of its proposed registered office in Idaho is 300 North 6th Street, Boise, Idaho 83701, and the name of its proposed registered agent in Idaho at that address is C T CORPORATION SYSTEM
8. The purpose or purposes which it is proposed to pursue in the transaction of business in Idaho are:  
Broker-dealer, investment adviser and financial planning services.  
To engage in any lawful act or activity permitted.

(Continued on reverse)

Submit application and certificate of status to:

Office of the Secretary of State  
Division of Corporations  
Statehouse, Room 203  
Boise, Idaho 83720

Secretary of State use only

IDAHO SECRETARY OF STATE  
19930709 0900 4690 2  
CK #: 18342 CUST# 1  
CORP 10 60.00= 60.00

# : C

9. The names and respective addresses of its directors and officers are: See attached.

Name	Office	Address

10. The corporation accepts and shall comply with the provisions of the Constitution and the laws of the State of Idaho.

11. This application is accompanied by a Certificate of Corporate Status or Existence, duly authenticated by the proper officer of the state or country under the laws of which it is incorporated.

Dated: June 15, 1993

CIGNA Securities, Inc.  
(Corporation name)  
By [Signature]  
Its President (please specify)  
and [Signature]  
Its Secretary/Assistant Secretary (please specify)

STATE OF Conn. )  
COUNTY OF Hartford ) ss: Bloomfield

I, Pamela S. Williams, a notary public, do hereby certify that on this 15th day of June, 19 93, personally appeared before me David C. Kopp, who being by me first duly sworn, declared that (s)he is the Secretary of CIGNA Securities, Inc.

that (s)he signed the foregoing documents as Secretary of the corporation and that the statements therein contained are true.

PAMELA S. WILLIAMS  
NOTARY PUBLIC  
MY COMMISSION EXPIRES MAR. 31, 1998

Pamela S. Williams  
Notary Public

Corporate Profile System  
Director Business Address List  
As of 05/14/93

CIGNA SECURITIES, INC.

Name, SSN, & Title

Business Address

FRANK GEORGE DRANGINIS  
044388067

900 COTTAGE GROVE ROAD  
S-323  
BLOOMFIELD  
CONNECTICUT 06002

MEMBER OF BOARD OF DIRECTORS

=====

GEORGE REA TRUMBULL, III  
015342204  
MEMBER OF BOARD OF DIRECTORS

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

JOHN WILKINSON  
079344384  
MEMBER OF BOARD OF DIRECTORS

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

Corporate Profile System  
Officer Business Address List  
As of 05/14/93

CIGNA SECURITIES, INC.

Name, SSN & Title

Business Address

FRANK GEORGE DRANGINIS  
044388067  
PRESIDENT

900 COTTAGE GROVE ROAD  
8-323  
BLOOMFIELD  
CONNECTICUT 06002

=====

EDWARD M. BERUBE  
030389249  
VICE PRESIDENT

900 COTTAGE GROVE ROAD  
  
BLOOMFIELD  
CONNECTICUT 06002

=====

ROY HOLMES BUBBS  
114428233  
VICE PRESIDENT

METRO CENTER  
350 CHURCH STREET  
HARTFORD  
CONNECTICUT 06104

=====

ROBERT FREDERICK CLARK  
039444654  
VICE PRESIDENT

900 COTTAGE GROVE ROAD  
  
BLOOMFIELD  
CONNECTICUT 06002

=====

RUSSELL J DUADE  
072383022  
VICE PRESIDENT

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

ROBERT A PICARELLO  
145368453  
CHIEF COUNSEL  
ASSISTANT SECRETARY

900 Cottage Grove Road  
Bloomfield  
  
Connecticut 06002

=====

ROBERT B PINKHAM  
019440399  
ASSISTANT VICE PRESIDENT

900 COTTAGE GROVE ROAD

BLOOMFIELD  
CONNECTICUT 06002

CHARLENE ROSANN VOYER  
045402826  
DIRECTOR OF COMPLIANCE

900 COTTAGE GROVE ROAD  
BLOOMFIELD

CONNECTICUT 06002

Corporate Profile System  
Officer Business Address List  
As of 05/14/93

CIGNA SECURITIES, INC.

Name, SSN & Title

Business Address

DAVID CHARLES KOPP  
328306686  
SECRETARY

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

ALLAN PAUL WICK  
047366206  
VICE PRESIDENT

900 Cottage Grove Road  
Bloomfield  
  
Connecticut 06002

=====

DAVID ALAN CARLSON  
048504533  
ASSISTANT SECRETARY

900 COTTAGE GROVE ROAD  
  
BLOOMFIELD  
CONNECTICUT 06002

=====

HOWARD ROBERT LOOS  
047143849  
ASSISTANT SECRETARY

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

TINA L. O'CONNOR  
045605941  
ASSISTANT SECRETARY

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

PAMELA S. WILLIAMS  
049441270  
ASSISTANT SECRETARY

900 COTTAGE GROVE ROAD  
BLOOMFIELD  
  
CONNECTICUT 06002

=====

CHARLOTTE JEAN CARDONE  
040446162  
ASSISTANT TREASURER

900 COTTAGE GROVE ROAD  
BLOOMFIELD

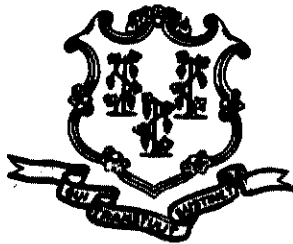
CONNECTICUT 06002

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GAIL BOXER MARCUS  
154528974  
ASSISTANT TREASURER

900 COTTAGE GROVE ROAD  
BLOOMFIELD

CONNECTICUT 06002  
\*\*\*\*\*





# State of Connecticut

Office of Secretary of the State

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SEC. OF STATE

93 JUL 9 AM 8 43

I, Pauline R. Kezer, Secretary of the State of Connecticut, and keeper of the seal thereof, DO HEREBY CERTIFY, that the Certificate of Incorporation of

CG EQUITY SALES COMPANY

as a STOCK corporation under the Connecticut General Statutes was filed in this office on AUGUST 3, 1967. The following comprises a list of amendments changing the name of the corporation filed in this office as of the date of this certificate:

AMENDMENTS CHANGING THE NAME TO

FILED

CIGNA SECURITIES, INC.

JULY 21, 1982

Insofar as the records of this office reveal, the corporation is in existence and in good standing.

In Testimony Whereof, I have hereunto set my hand and affixed the Great Seal of the State of Connecticut, at Hartford, this 24th day of June 1993.

*Pauline R. Kezer*

Secretary of the State

LHG