



0006221905



**STATE OF IDAHO**  
*Office of the secretary of state, Phil McGrane*  
**ANNUAL REPORT**  
 Idaho Secretary of State  
 PO Box 83720  
 Boise, ID 83720-0080  
 (208) 334-2301  
 Filing Fee: \$0.00

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**-FILED-**  
 File #: 0006221905  
 Date Filed: 4/26/2025 11:16:31 AM

Entity Name and Mailing Address:

Entity Name: CETERA INVESTMENT MANAGEMENT LLC  
 Foreign Name (name in home jurisdiction): CETERA INVESTMENT MANAGEMENT LLC  
 The file number of this entity on the records of the Idaho Secretary of State is: 0000380985  
 Address: 2301 ROSECRANS AVE  
 STE 5100  
 EL SEGUNDO, CA 90245-5109

Entity Details:

Entity Status: Active-Existing  
 This entity is organized under the laws of: DELAWARE  
 If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: W124286

The registered agent on record is:

Registered Agent: CORPORATION SERVICE COMPANY  
 Commercial Registered Agent  
 Physical Address: 1305 12TH AVE RD  
 NAMPA, ID 83686  
 Mailing Address: 1305 12TH AVE RD  
 NAMPA, ID 83686

Agent or Address Change

Select if you are appointing a new agent.

Limited Liability Company Managers and Members

Name	Title	Business Address
JOSEPH D NEARY	Manager	655 W. BROADWAY 12TH FLOOR SAN DIEGO, CA 92101
<input checked="" type="checkbox"/> TODD C. MACKAY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245-5109
THOMAS A. GOOLEY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245

The annual report must be signed by an authorized signer of the entity.  
 Job Title: TAX OFFICER

GARY L. CHAFFIN 04/26/2025  
 Sign Here Date

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