| No. W 52939 Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE | | Due no later than Jul 31, 2014 Annual Report Form 1. Mailing Address: Correct in this box if needed. NYLIFE SECURITIES LLC 51 MADISON AVENUE NEW YORK NY 10010 | | 2. Registered A | 2. Registered Agent and Address (NO PO BOX) | | | |
|---|--------------------|---|--|---|---|---------|-------------|--|
| | | | | C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705 USA 3. New Registered Agent Signature:* | | | | |
| 4. Limited Liability Compa | ınies: Enter Nar | mes and Addresses | of at least one Member or Manager. | | | | | |
| Office Held | Name | | Street or PO Address | City | State | Country | Postal Code | |
| MANAGER | MICHELLEN | WILDIN | 51 MADISON AVENUE | NEW YORK | NY | USA | 10010 | |
| MANAGER | ROBERT M. GARDNER | | 51 MADISON AVENUE | NEW YORK | NY | USA | 10010 | |
| MANAGER | SHEILA K. DAVIDSON | | 51 MADISON AVENUE | NEW YORK | NY | USA | 10010 | |
| MANAGER CHRISTOPHER O. BLUNT | | ER O. BLUNT | 51 MADISON AVENUE | NEW YORK | NY | USA | 10010 | |
| MANAGER | GERARD A. | ROCCHI | 51 MADISON AVENUE | NEW YORK | NY | USA | 10010 | |
| 5. Organized Under the Laws of: | | 6. Annual Report must be signed.* | | | | | | |
| DE W 52939 | | Signature: Russell Kopp | | | Date: 06/06/2014 | | | |
| | | Name (type or print): Russell Kopp | | Title: Poa | | | | |
| Processed 06/06/2014 | | * Electronically pro | ovided signatures are accepted as original | signatures. | | | | |