



0004951198

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State
PO Box 83720
Boise, ID 83720-0080
(208) 334-2301
Filing Fee: \$0.00

For Office Use Only

-FILED-

File #: 0004951198

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Entity Name and Mailing Address:

Entity Name: ROTHSCCHILD ASSET MANAGEMENT INC.
Foreign Name (name in home jurisdiction): ROTHSCCHILD ASSET MANAGEMENT INC.
The file number of this entity on the records of the Idaho Secretary of State is: 0000546221
Address: 1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020-1104

Entity Details:

Entity Status: Active-Good Standing
This entity is organized under the laws of: NEW YORK
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C180603

The registered agent on record is:

Registered Agent: UNITED STATES CORPORATION COMPANY
Commercial Registered Agent
Physical Address
1305 12TH AVE RD
NAMPA, ID 83686
Mailing Address
1303 12TH AVE RD
NAMPA, ID 83686

Agent or Address Change

☐ Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
Gary Powell	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ James Neissa	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ Leonardo LeBrun	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Sophia Shin	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ Aysun Kilie	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Roger Bos	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Denise Ogill	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Jason Smith	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



Russel Newman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Richard Vasquez	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Dina Clements	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Mark Burns	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Eric Fraser	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Eric Rowan	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Tina Jones	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Martin Ruszkowski	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Kathryn Orezee	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Jeffrey Agne	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Mary Cullian	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Anna Majewska-Nizioł	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 John Thomas	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Paul Roukis	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Joseph Gill	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Douglas Levine	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Joe Bellantoni	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Chris Kaufman	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Joseph Shelly	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Michael Kehoe	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Luis Ferreira	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
 Daniel Oshinskie	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
John M. Carroll	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Ronny Santos	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



☒ Sean Jeffers	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Kunal Desai	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
William Bertolino	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ Michael Sabino	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
Tiffany Li	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ Michael Sullivan	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
☒ John Carroll	Secretary	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

The annual report must be signed by an authorized signer of the entity.

Job Title: Secretary

John Carroll

Sign Here

10/12/2022

Date