



0005031868

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State  
PO Box 83720  
Boise, ID 83720-0080  
(208) 334-2301  
Filing Fee: \$0.00

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File #: 0005031868

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## Entity Name and Mailing Address:

Entity Name: CETERA INVESTMENT ADVISERS LLC  
Foreign Name (name in home jurisdiction): CETERA INVESTMENT ADVISERS LLC  
The file number of this entity on the records of the Idaho Secretary of State is: 0000370670  
Address: TAX DEPARTMENT  
1450 AMERICAN LN  
STE 650  
SCHAUMBURG, IL 60173-4989

## Entity Details:

Entity Status: Active-Existing  
This entity is organized under the laws of: DELAWARE  
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: W120751

## The registered agent on record is:

Registered Agent: CORPORATION SERVICE COMPANY  
Commercial Registered Agent  
Physical Address:  
1305 12TH AVE RD  
NAMPA, ID 83686  
Mailing Address:  
1305 12TH AVE RD  
NAMPA, ID 83686

## Agent or Address Change

☐ Select if you are appointing a new agent.

## Limited Liability Company Managers and Members

Name	Title	Business Address
JOSEPH NEARY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245
THOMAS GOOLEY	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245
THOMAS TAYLOR	Manager	2301 ROSECRANS AVE STE 5100 EL SEGUNDO, CA 90245

The annual report must be signed by an authorized signer of the entity.

Job Title: TAX OFFICER

**GARY CHAFFIN**

Sign Here

**12/16/2022**

Date