

No. W 120751	Due no later than Jan 31, 2017 Annual Report Form	2. Registered Agent and Address (NO PO BOX)				
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE	1. Mailing Address: Correct in this box if needed. CETERA INVESTMENT ADVISERS LLC DANA THOMSEN 401 FIRST ST SOUTH STE 300 ST CLOUD MN 56301	C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705 3. <u>New</u> Registered Agent Signature:*				
4. Limited Liability Companies: Enter Names and Addresses of at least one Member or Manager.						
Office Held	Name	Street or PO Address	City	State	Country	Postal Code
MEMBER	JAMES DAVID BALLARD	200 N SEPULVEDA BLVD STE 1200	EL SEGUNDO	CA	USA	90245
MANAGER	CATHERINE BONNEAU	400 1ST ST SOUTH STE 300	ST CLOUD	MN	USA	56301
MANAGER	ADAM ANTONIADES	200 N SEPULVEDA BLVD SUITE 1200	EL SEGUNDO	CA	USA	90245
5. Organized Under the Laws of: DE W 120751	6. Annual Report must be signed.* Signature: GREG OLSON Name (type or print): GREG OLSON		Date: 01/03/2017 Title: ASSISTANT SECRETARY			
Processed 01/03/2017		* Electronically provided signatures are accepted as original signatures.				