

No. W 176243		Due no later than Jan 31, 2018		2. Registered Agent and Address (NO PO BOX)			
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. CETERA ADVISORY SERVICES LLC 200 N SEPULVEDA BLVD SUITE 1200 EL SEGUNDO CA 90245		C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705			
				3. <u>New</u> Registered Agent Signature:*			
4. Limited Liability Companies: Enter Names and Addresses of at least one Member or Manager.							
Office Held	Name	Street or PO Address	City	State	Country	Postal Code	
MEMBER	JAMES DAVID BALLARD	200 N SEPULVEDA BLVD STE 1200	EL SEGUNDO	CA	USA	90245	
MANAGER	BRETT HARRISON	4600 S SYRACUSE ST STE 600	DENVER	CO	USA	80237	
MANAGER	ADAM ANTONIADES	655 WEST BROADWAY	SAN DIEGO	CA	USA	92101	
5. Organized Under the Laws of: DE W 176243		6. Annual Report must be signed.* Signature: GREG OLSON Name (type or print): GREG OLSON Date: 01/09/2018 Title: ASSISTANT SECRETARY					
Processed 01/09/2018		* Electronically provided signatures are accepted as original signatures.					