

No. C 191188		Due no later than May 31, 2013		2. Registered Agent and Address (NO PO BOX)		
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. CETERA FINANCIAL GROUP, INC. JENNIFER MOULZOLF 400 1ST STREET SOUTH SUITE 300 ST CLOUD MN 56301 USA		C T CORPORATION SYSTEM 921 S ORCHARD ST STE G BOISE ID 83705 USA		
				3. <u>New</u> Registered Agent Signature:*		
4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).						
Office Held	Name	Street or PO Address	City	State	Country	Postal Code
DIRECTOR	ALICE D SCHROEDER	65 HIGH RIDGE RD SUITE 502	STAMFORD	CT	USA	06905
DIRECTOR	STEWART K.P GROSS	9 WEST 57TH STREET	NEW YORK	NY	USA	10019
DIRECTOR	MARK F VASSALLO	9 WEST 57TH STREET	NEW YORK	NY	USA	10019
DIRECTOR	DONALD B MARRON	9 WEST 57TH STREET	NEW YORK	NY	USA	10019
PRESIDENT	VALERIE G BROWN	200 N SEPULVEDA BLVD STE 1200	EL SEGUNDO	CA	USA	90245
SECRETARY	NINA S MCKENNA	200 N SEPULVEDA BLVD STE 1200	EL SEGUNDO	CA	USA	90245
5. Organized Under the Laws of: DE C 191188		6. Annual Report must be signed.* Signature: Greg A. Olson Name (type or print): Greg A. Olson Date: 04/17/2013 Title: Assistant Secretary				
Processed 04/17/2013		* Electronically provided signatures are accepted as original signatures.				