



0005868961

**STATE OF IDAHO***Office of the secretary of state, Phil McGrane***ANNUAL REPORT**

Idaho Secretary of State  
PO Box 83720  
Boise, ID 83720-0080  
(208) 334-2301  
Filing Fee: \$0.00

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**-FILED-**

File #: 0005868961

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## Entity Name and Mailing Address:

Entity Name: ROTHSCCHILD & CO US INC.  
Foreign Name (name in home jurisdiction): ROTHSCCHILD & CO US INC.  
The file number of this entity on the records of the Idaho Secretary of State is: 0000231240  
Address: 1251 AVENUE OF THE AMERICAS  
NEW YORK, NY 10020-1104

## Entity Details:

Entity Status: Active-Good Standing  
This entity is organized under the laws of: DELAWARE  
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C73613

## The registered agent on record is:

Registered Agent: UNITED STATES CORPORATION COMPANY  
Commercial Registered Agent  
Physical Address  
1305 12TH AVE RD  
NAMPA, ID 83686  
Mailing Address  
1303 12TH AVE RD  
NAMPA, ID 83686

## Agent or Address Change

☐ Select if you are appointing a new agent.

## Corporate Officers and Directors:

| Name                      | Title     | Business Address                                       |
|---------------------------|-----------|--------------------------------------------------------|
| ■ Tracy Feldman           | Treasurer | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Christa Schackert       | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ James Neissa            | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Carlos Takano de Araujo | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Kerry Dolcimascolo      | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Victor D'Agata          | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Pamela Holland          | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Daniel Hyams            | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |



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| ■ Arthur Sundry       | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Kathryn Taylor      | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Alex Ilg            | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Lionel Blache       | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ John Grause         | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Sutter      | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Matthew Mynn        | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Ryan Oxley          | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Trevor Jones        | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Tyler Leung         | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Patrick Mastan      | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ James Ben           | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Steven Lipman       | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Edyse Vogel         | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jimmy Bonneau       | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Charles Delo        | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Justin Kish         | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Poerschke   | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Siddharth Hariharan | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ John M. Carroll     | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ John M. Carroll     | Secretary | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Hugo Dryland        | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Wilcheck    | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Kenneth Surjadinata | Director  | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |



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| ■ Leonardo LeBrun     | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Matthew Chou        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Marcelo Messer      | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Timothy Hotchandani | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Adam Reeder         | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Stephen Antinelli   | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Paul Kelpetko       | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Aashis Mehta        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Frank London        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Erin Faherty        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Eric Hirschfield    | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Aleksej Moser       | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Sarah Slaoui        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Speller     | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Robert Berger       | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Sara Coyle          | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Sumner Farren       | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Ostow       | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jonathan Herbst     | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Andrew Yearley      | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Bob Berry           | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Grant Dragan        | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Greg Han            | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Buchbinder  | Director | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |



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| ■ Mark Connelly               | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Matthew O'Donnell           | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Alex Mironov                | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jaime Arrastia              | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Ryan Griswold               | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Colin Cropper               | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ David Mell                  | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ David Baron                 | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Sang Shin                   | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Sid Mehta                   | Director       | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Rolf Arnold                 | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Steve Waeghe                | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Charles Huyghues-Despointes | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Brian Lee                   | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Suneet Singh                | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Gerald Laurenceau           | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Daniel Flanary              | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Domenico Fortuna            | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ John Kang                   | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Ho Young Kim                | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Andrew Morley               | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Scott Kronish               | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Andrew Smith                | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Andrew Salamone             | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |



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|----------------------|----------------|--------------------------------------------------------|
| ■ Antoine Bassoul    | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Berfin Uludogan    | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jarrod Burke       | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jeffrey Tseng      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Jennifer Ding      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ John Heidenreich   | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Joseph O           | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Julien Durand      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Brian Regan        | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Charles Swartz     | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ David Adler        | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ David Kinzler      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Robert Smith       | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Rogelio Canales    | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Robert Talcott     | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Will Dunger        | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Zachary Dukoff     | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Albert Blankenship | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Alan KimXu         | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Keith Knoblauch    | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Kevin Luczak       | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| ■ Michael Berry      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| + Macy Livingston    | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| + Mischa Beumer      | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |



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| <div><div></div><div>Mo Sadiq</div></div>            | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| <div><div></div><div>Nic Soupre</div></div>          | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |
| <div><div></div><div>Benjamin Goldenberg</div></div> | Vice President | 1251 AVENUE OF THE AMERICAS<br>NEW YORK, NY 10020-1104 |

The annual report must be signed by an authorized signer of the entity.  
Job Title: Secretary

|                        |                   |
|------------------------|-------------------|
| <i>John M. Carroll</i> | <i>08/23/2024</i> |
| Sign Here              | Date              |