



AMENDMENT OF FOREIGN REGISTRATION STATEMENT

Title 30, Chapter 21, Idaho Code

Base Filing fee: \$30.00 + \$20.00 for manual processing (form must be typed).

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File #: 0004666278

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1. Entity name: Cetera Investment Management LLC

2. The entity name is amended to: _____

a. If the new name is not available or permissible in Idaho, the name to be used in Idaho is: _____

3. The entity type is amended to:

☐ Business Corporation

☐ Nonprofit Corporation

☐ Limited Liability Partnership

☐ Limited Liability Company

☐ Other: _____

☐ General Partnership

☐ General Cooperative Association

☐ Limited Partnership (Including a limited liability limited partnership)

☐ Statutory Trust, Business Trust, or Common-law Business Trust

(Provide unlisted foreign entity type here)

4. The entity's jurisdiction is amended to: _____

5. The street and mailing address(es) of its principal office is amended to:

2301 Rosecrans Ave., Ste. 5100, El Segundo, CA 90245

(Street Address)

(Mailing Address, if different)

6. The name, capacity, and mailing address of the governor(s) is amended to:

(Name)

(Capacity)

(Address)

(Name)

(Capacity)

(Address)

Typed Name: Joseph Neary

Signature: _____

DocuSigned by:

Joseph Neary

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Capacity: Manager

Secretary of State use only

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