

# State of Idaho

Office of the Secretary of State

## AMENDED CERTIFICATE OF AUTHORITY

OF

### DAIN RAUSCHER INCORPORATED

File Number C 133881

I, PETE T. CENARRUSA, Secretary of the State, hereby certify that an Application for Amended Certificate of Authority, has been received in this office and is found to conform to law.

ACCORDINGLY and by virtue of the authority vested in me by law, I issue this Amended Certificate of Authority to reflect the name change from **DAIN RAUSCHER INCORPORATED** to **RBC DAIN RAUSCHER INC.** and attach hereto a duplicate of the application for such amended certificate.

Dated: October 29, 2001



*Pete T. Cenarrusa*  
SECRETARY OF STATE

By *Kim Hunter*



# APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY

(Instructions on back of application)

FILED/EFFECTIVE  
OCT 29 10:13  
SECRETARY OF STATE  
STATE OF IDAHO

To the Secretary of State of the State of Idaho:

Pursuant to Section 30-1-1504, **Idaho Code**, the undersigned Corporation hereby applies for an amended certificate of authority to transact business in the State of Idaho and for that purpose submits the following statement. Complete only applicable items.

1. A Certificate of Authority was issued to the corporation by your office on May 9, 2000 ,  
authorizing it to transact business in the State of Idaho under the name of Dain Rauscher Incorporated
2. Its corporate name has been changed to RBC Dain Rauscher Inc.
3. The name which it shall use hereafter in the State of Idaho is N/A
4. It has changed its jurisdiction of incorporation, without a change of corporate identity to: N/A

Dated: 10/22/01 RBC Dain Rauscher Inc.  
(Corporation Name)

Signature *Bentley J. Anderson*

Typed Name Bentley J. Anderson

Capacity Assistant Secretary

Customer Acct # :  
(if using pre-paid account)

Secretary of State use only

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Revised 01/2001

IDAHO SECRETARY OF STATE  
10/29/2001 05:00  
CK: 2014191 CT: 152925 BH: 426698  
1 @ 30.00 = 30.00 AMEND CERT # 2

C133881

State of Minnesota

**SECRETARY OF STATE**

01 OCT 20 21:10:13  
STATE OF MINNESOTA

Certificate of Name Change

I, Mary Kiffmeyer, Secretary of State of Minnesota, do certify that the corporation listed below filed an amendment of its articles of incorporation, or, in the case of a non-Minnesota corporation, a certificate of name change, changing its name with this office on the date listed below, and that the corporation has complied with the relevant laws of Minnesota with respect to that filing.

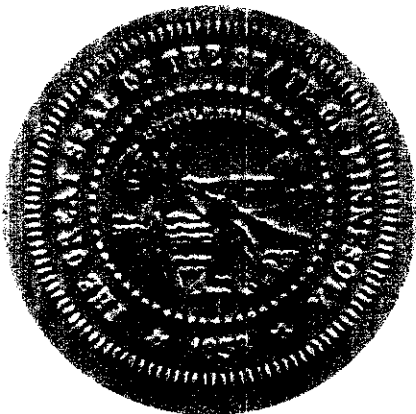
Old Name: Dain Rauscher Incorporated

New Name: RBC Dain Rauscher Inc.

State of Incorporation: MN

Date Amendment filed: 10/10/2001

This certificate has been issued on 10/19/01.



*Mary Kiffmeyer*  
Secretary of State.