



0004148465



**STATE OF IDAHO**  
*Office of the secretary of state, Lawrence Denney*  
**ANNUAL REPORT**  
 Idaho Secretary of State  
 PO Box 83720  
 Boise, ID 83720-0080  
 (208) 334-2301  
 Filing Fee: \$0.00

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**-FILED-**  
 File #: 0004148465  
 Date Filed: 1/31/2021 12:02:05 PM

Entity Name and Mailing Address:

Entity Name: CETERA ADVISORY SERVICES LLC  
 Foreign Name (name in home jurisdiction): CETERA ADVISORY SERVICES LLC  
 The file number of this entity on the records of the Idaho Secretary of State is: 0000533470  
 Address: DANA THOMSEN  
 200 N PACIFIC COAST HWY  
 STE 1200  
 EL SEGUNDO, CA 90245-5605

Entity Details:

Entity Status: Active-Existing  
 This entity is organized under the laws of: DELAWARE  
 If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: W176243

The registered agent on record is:

Registered Agent: CORPORATION SERVICE COMPANY  
 Commercial Registered Agent  
 Physical Address: 12550 W EXPLORER DR STE 100  
 BOISE, ID 83713  
 Mailing Address: 12550 W EXPLORER DR STE 100  
 BOISE, ID 83713

Agent or Address Change

Select if you are appointing a new agent.

Limited Liability Company Managers and Members

Name	Title	Business Address
+ JOSEPH NEARY	Manager	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO, CA 90245
+ BRETT HARRISON	Manager	4600 S SYRACUSE ST STE 600 DENVER, CO 80237

The annual report must be signed by an authorized signer of the entity.  
 Job Title: TREASURER

**MARK SHELSON** *01/31/2021*

Sign Here \_\_\_\_\_ Date \_\_\_\_\_

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