



0005711437

**STATE OF IDAHO***Office of the secretary of state, Phil McGrane***AMENDMENT OF FOREIGN REGISTRATION****STATEMENT**

Idaho Secretary of State  
 PO Box 83720  
 Boise, ID 83720-0080  
 (208) 334-2301  
 Filing Fee: \$30.00

**For Office Use Only****-FILED-**

File #: 0005711437

Date Filed: 5/2/2024 7:17:53 PM

Amendment of Foreign Registration Statement																							
Select one: Standard, Expedited or Same Day Service (see descriptions below)		Expedited (+\$40; filing fee \$70)																					
The name of the entity as currently registered with the Idaho Secretary of State is:																							
Entity Name in Idaho:		INFINEX INVESTMENTS, INC.																					
Entity Name in Home Jurisdiction:		INFINEX INVESTMENTS, INC.																					
Home Jurisdiction:		CONNECTICUT																					
The file number of this entity on the records of the Idaho Secretary of State is:		0000629444																					
Entity Type:		Business Corporation (F)																					
Entity Subtype																							
Corporation Subtype		Foreign Business Corporation																					
The new name to be used in Idaho is:																							
Business Corporation Name:		Osaic Institutions, Inc.																					
The entity name in its home jurisdiction is/or is amended to:																							
Entity Name:		Osaic Institutions, Inc.																					
The street address of the principal office is amended to:																							
Principal Office Address		538 PRESTON AVE MERIDEN, CT 06450																					
The mailing address of the principal office is amended to:																							
Mailing Address		538 PRESTON AVE MERIDEN, CT 06450-4851																					
The name, capacity and mailing address of the governor(s) is amended to:																							
<table border="1"> <thead> <tr> <th>Name</th> <th>Title</th> <th>Address</th> </tr> </thead> <tbody> <tr> <td>+ Stephen Amarante</td> <td>CEO, President &amp; Director</td> <td>538 PRESTON AVENUE MERIDEN, CT 06450</td> </tr> <tr> <td>+ Greg Cornick</td> <td>Director</td> <td>20 E. THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012</td> </tr> <tr> <td>+ Matthew Schlueter</td> <td>Executive Vice President &amp; Director</td> <td>2300 WINDY RIDGE PARKWAY SUITE 750 ATLANTA, GA 30339</td> </tr> <tr> <td>+ Jamie Price</td> <td>Chairman</td> <td>10 EXCHANGE PLACE SUITE 1410 JERSEY CITY, NJ 07302</td> </tr> <tr> <td>+ John Michael Koth</td> <td>FINOP</td> <td>20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012</td> </tr> <tr> <td>+ William Cummings</td> <td>Senior Vice President &amp; General Counsel</td> <td>538 PRESTON AVENUE MERIDEN, CT 06450</td> </tr> </tbody> </table>			Name	Title	Address	+ Stephen Amarante	CEO, President & Director	538 PRESTON AVENUE MERIDEN, CT 06450	+ Greg Cornick	Director	20 E. THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012	+ Matthew Schlueter	Executive Vice President & Director	2300 WINDY RIDGE PARKWAY SUITE 750 ATLANTA, GA 30339	+ Jamie Price	Chairman	10 EXCHANGE PLACE SUITE 1410 JERSEY CITY, NJ 07302	+ John Michael Koth	FINOP	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012	+ William Cummings	Senior Vice President & General Counsel	538 PRESTON AVENUE MERIDEN, CT 06450
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+ John Kulik	Vice President, Operations	538 PRESTON AVENUE MERIDEN, CT 06450
+ Margaret Goz	Executive Vice President & CFO	538 PRESTON AVENUE MERIDEN, CT 06450
+ David Schmidt	Treasurer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Scott Seiffert	Chief Accounting Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Tim Hodge	Chief Operations Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ John Michael Cooney	Senior Vice President, Chief Compliance Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Nina McKenna	Secretary	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Abby Henig	Assistant Secretary	10 EXCHANGE PLACE, SUITE 1410 JERSEY CITY, NJ 07302
+ Gabrielle Porch	Assistant Secretary	10 EXCHANGE PLACE SUITE 1410 JERSEY CITY, NJ 07302
+ Leo Beauregard	Anti-Money Laundering Officer	538 PRESTON AVENUE MERIDEN, CT 06450
+ Richard Koll	Senior Vice President of Client Experience	640 5TH AVE. 4TH FLOOR NEW YORK, NY 10019
+ Holger Kunst	Senior Vice President, Direct of System Integrations and Customer Support	538 PRESTON AVENUE MERIDEN, CT 06450
+ Kevin Mancini	Senior Vice President, Director of System Integrations and Customer Support	538 PRESTON AVENUE MERIDEN, CT 06450
+ Ida Viglione	1st Senior Vice President, Director of Regional Management	538 PRESTON AVENUE MERIDEN, CT 06450
+ Brittan Chambers	Vice President, Director of Regional Management	538 PRESTON AVENUE MERIDEN, CT 06450
+ Jon Fonash	Vice President, Director of Advisory Services	12325 PORT GRAVE BLVD. LA VISTA, NE 68128
+ Heather Justo	Associate Vice President of Financial Reporting	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Peter Hirsch	Vice President, Retirement Plans, Supervision	2300 WINDY RIVER PARKWAY SUITE 750 ATLANTA, GA 30339

The amendment must be signed by an officer or director of a corporation, a member or manager of an LLC, or a partner of an LP.

*Nina McKenna*

Sign Here

*05/02/2024*

Date



Job Title: Secretary

# Secretary of the State of Connecticut

## Certificate of Legal Existence

### Certificate of Legal Existence Certificate

Date Issued: Friday, April 19, 2024 5:13 PM

I, the Connecticut Secretary of the State, and keeper of the seal thereof, do hereby certify, that the certificate of incorporation for the below domestic Stock corporation was filed in this office.

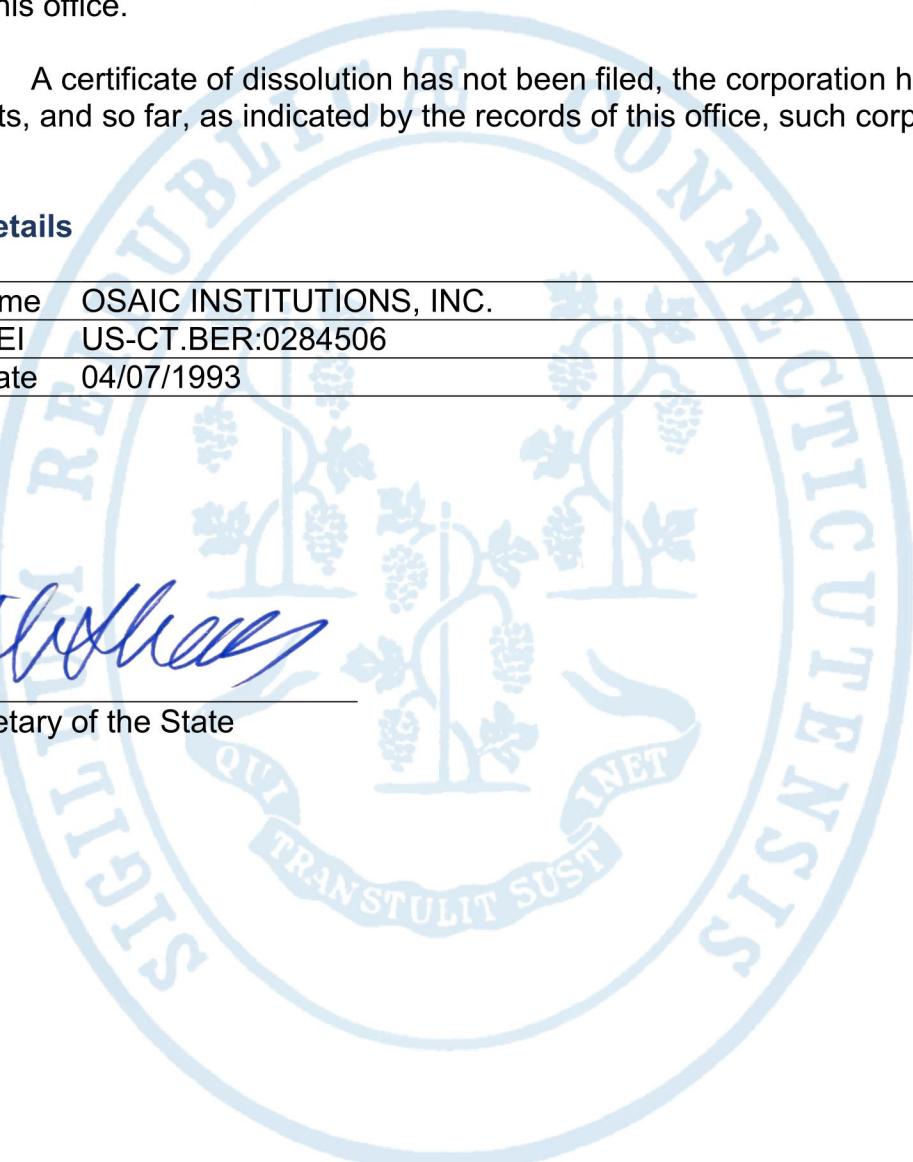
A certificate of dissolution has not been filed, the corporation has filed all annual reports, and so far, as indicated by the records of this office, such corporation is in existence.

#### Business Details

Business Name OSAIC INSTITUTIONS, INC.

Business ALEI US-CT.BER:0284506

Formation Date 04/07/1993

The seal of the State of Connecticut is a circular emblem. It features a central shield with a plow, a sheaf of wheat, and a sheaf of corn. Above the shield is a crest depicting a bent arm holding a broadsword. A ribbon or scroll surrounds the bottom of the shield with the Latin motto "QUI TRANSTULIT SUSTINET". The outer ring of the seal contains the text "THE GREAT SEAL OF THE STATE OF CONNECTICUT" and the year "1784".  
*Stephen H. Sheldon*

Secretary of the State

Business ALEI: US-CT.BER:0284506

Note: To verify this certificate, visit [Business.ct.gov](http://Business.ct.gov)

Certificate Number: C-00128501

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