



0005711437

**STATE OF IDAHO***Office of the secretary of state, Phil McGrane***AMENDMENT OF FOREIGN REGISTRATION  
STATEMENT**

Idaho Secretary of State  
PO Box 83720  
Boise, ID 83720-0080  
(208) 334-2301  
Filing Fee: \$30.00

*For Office Use Only***-FILED-**

File #: 0005711437

Date Filed: 5/2/2024 7:17:53 PM

## Amendment of Foreign Registration Statement

Select one: Standard, Expedited or Same Day Service (see descriptions below)      Expedited (+\$40; filing fee \$70)

The name of the entity as currently registered with the Idaho Secretary of State is:

Entity Name in Idaho:      INFINEX INVESTMENTS, INC.  
Entity Name in Home Jurisdiction:      INFINEX INVESTMENTS, INC.  
Home Jurisdiction:      CONNECTICUT  
The file number of this entity on the records of the Idaho Secretary of State is:      0000629444  
Entity Type:      Business Corporation (F)

Entity Subtype

Corporation Subtype      Foreign Business Corporation

The new name to be used in Idaho is:

Business Corporation Name:      Osaic Institutions, Inc.

The entity name in it's home jurisdiction is/or is amended to:

Entity Name:      Osaic Institutions, Inc.

The street address of the principal office is amended to:

Principal Office Address      538 PRESTON AVE  
MERIDEN, CT 06450

The mailing address of the principal office is amended to:

Mailing Address      538 PRESTON AVE  
MERIDEN, CT 06450-4851

The name, capacity and mailing address of the governor(s) is amended to:

Name	Title	Address
+ Stephen Amarante	CEO, President & Director	538 PRESTON AVENUE MERIDEN, CT 06450
+ Greg Cornick	Director	20 E. THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ Matthew Schlueter	Executive Vice President & Director	2300 WINDY RIDGE PARKWAY SUITE 750 ATLANTA, GA 30339
+ Jamie Price	Chairman	10 EXCHANGE PLACE SUITE 1410 JERSEY CITY, NJ 07302
+ John Michael Koth	FINOP	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
+ William Cummings	Senior Vice President & General Counsel	538 PRESTON AVENUE MERIDEN, CT 06450



<b>+ John Kulik</b>	Vice President, Operations	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Margaret Goz</b>	Executive Vice President & CFO	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ David Schmidt</b>	Treasurer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ Scott Seiffert</b>	Chief Accounting Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ Tim Hodge</b>	Chief Operations Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ John Michael Cooney</b>	Senior Vice President, Chief Compliance Officer	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ Nina McKenna</b>	Secretary	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ Abby Henig</b>	Assistant Secretary	10 EXCHANGE PLACE, SUITE 1410 JERSEY CITY, NJ 07302
<b>+ Gabrielle Porch</b>	Assistant Secretary	10 EXCHANGE PLACE SUITE 1410 JERSEY CITY, NJ 07302
<b>+ Leo Beauregard</b>	Anti-Money Laundering Officer	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Richard Koll</b>	Senior Vice President of Client Experience	640 5TH AVE. 4TH FLOOR NEW YORK, NY 10019
<b>+ Holger Kunst</b>	Senior Vice President, Direct of System Integrations and Customer Support	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Kevin Mancini</b>	Senior Vice President, Director of System Integrations and Customer Support	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Ida Viglione</b>	1st Senior Vice President, Director of Regional Management	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Brittan Chambers</b>	Vice President, Director of Regional Management	538 PRESTON AVENUE MERIDEN, CT 06450
<b>+ Jon Fonash</b>	Vice President, Director of Advisory Services	12325 PORT GRAVE BLVD. LA VISTA, NE 68128
<b>+ Heather Justo</b>	Associate Vice President of Financial Reporting	20 E THOMAS ROAD SUITE 2000 PHOENIX, AZ 85012
<b>+ Peter Hirsch</b>	Vice President, Retirement Plans, Supervision	2300 WINDY RIVER PARKWAY SUITE 750 ATLANTA, GA 30339

The amendment must be signed by an officer or director of a corporation, a member or manager of an LLC, or a partner of an LP.

***Nina McKenna***

Sign Here

***05/02/2024***

Date



Job Title: Secretary

# Secretary of the State of Connecticut

## Certificate of Legal Existence

Certificate of Legal Existence Certificate

Date Issued: Friday, April 19, 2024 5:13 PM

I, the Connecticut Secretary of the State, and keeper of the seal thereof, do hereby certify, that the certificate of incorporation for the below domestic Stock corporation was filed in this office.

A certificate of dissolution has not been filed, the corporation has filed all annual reports, and so far, as indicated by the records of this office, such corporation is in existence.

### Business Details

Business Name	OSAIC INSTITUTIONS, INC.
Business ALEI	US-CT.BER:0284506
Formation Date	04/07/1993



Secretary of the State

Business ALEI: US-CT.BER:0284506

Note: To verify this certificate, visit [Business.ct.gov](https://Business.ct.gov)

Certificate Number: C-00128501