



0003636295

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State

PO Box 83720

Boise, ID 83720-0080

(208) 334-2301

Filing Fee: \$0.00

*For Office Use Only***-FILED-**

File #: 0003636295

Date Filed: 10/2/2019 10:13:07 AM

Entity Name and Mailing Address:

ROTHSCHILD ASSET MANAGEMENT INC.

The file number of this entity on the records of the Idaho Secretary of State is: 0000546221

Address

1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020-1104

Entity Details:

Entity Status

Active-Good Standing

This entity is organized under the laws of:

NEW YORK

If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C180603

The registered agent on record is:

Registered Agent

UNITED STATES CORPORATION COMPANY
Commercial Registered Agent

Physical Address

12550 W EXPLORER DR STE 100
BOISE, ID 83713

Mailing Address

12550 W EXPLORER DR STE 100
BOISE, ID 83713

Agent or Address Change

☐ Select if you are appointing a new agent.

B0363-8372 10/02/2019 10:13 AM Received by ID Secretary of State Lawrence Denney



Corporate Officers and Directors:

Name	Title	Address
GARY POWELL	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOHN M. CARROLL	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOHN M. CARROLL	Secretary	1251 AVE OF THE AMERICAS NEW YORK, NY 10020
DENISE OGILL	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
WILLIAM BERTOLINO	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
TIFFANY LI	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MICHAEL SULLIVAN	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MICHAEL SABINO	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
AYSUN KILIC	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DINA CLEMENTS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ERIC FRASER	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ERIC ROWAN	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JASON SMITH	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JAMES NEISSA	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MARK BURNS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
RICHARD VASQUEZ	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ROGER BOS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
RONNY SANTOS	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
RUSSEL NEWMAN	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
SOPHIA SHIN	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
KUNAL DESAI	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
LEONARDO LEBRUN	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SEAN JEFFERS	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
TINA JONES	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



The annual report must be signed by an authorized signer of the entity.

JOHN M. CARROLL

Sign Here

10/02/2019

Date

Signer's Title: SECRETARY