



0003562039

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State

PO Box 83720

Boise, ID 83720-0080

(208) 334-2301

Filing Fee: \$0.00

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File #: 0003562039

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Entity Name and Mailing Address:

ROTHSCHILD & CO US INC.

The file number of this entity on the records of the Idaho Secretary of State is: 0000231240

Address

1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020-1104

Entity Details:

Entity Status

Active-Good Standing

This entity is organized under the laws of:

DELAWARE

If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C73613

The registered agent on record is:

Registered Agent

UNITED STATES CORPORATION COMPANY
Commercial Registered Agent

Physical Address

12550 W EXPLORER DR STE 100
BOISE, ID 83713

Mailing Address

12550 W EXPLORER DR STE 100
BOISE, ID 83713

Agent or Address Change

☐ Select if you are appointing a new agent.

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Corporate Officers and Directors:

Name	Title	Address
ALEKSEJ MOSER	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ANDREW MORLEY	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ANDREW SMITH	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ARTHUR SUNDRY	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ARZAN RAIMALWALA	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
BENJAMIN GOLDENBERG	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
BRIAN LEE	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CARLOS TAKANO DE ARAUJO	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CHARLES DELO	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CHARLES HUYGHUES-DESPOINTES	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CHARLES JANG	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CHARLES KERECH	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
CHRISTA SCHACKERT	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DANIEL FLANARY	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DANIEL HYAMS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DAVID YI	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DOMENICO FORTUNA	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
DUSTIN MONDELL	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
ERIN FAHERTY	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
EUGENE BORD	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
FARIDAH SHAH	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
GERALD LAURENCEAU	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
GIDEON VOLSCHECK	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
GREG STOLOWITZ	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020



HARAKARAN BEDI	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
HO YOUNG KIM	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JAMES NEISSA	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JIMMY BONNEAU	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOHN KANG	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOHN M. CARROLL	Secretary	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOHN M. CARROLL	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JONATHAN LASALA	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOSHUA COOPER	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JOSHUA MICHAELS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
JUSTIN KISH	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
KATHRYN TAYLOR	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
KERRY DOLCIMASCOLO	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
KUNAL BHALLA	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
KUNAL SHAH	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
LOUIS GANSER	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MARY KALAMBAY	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MATTEO PARENTI	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MATTHEW CHOU	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MICHAEL POERSCHKE	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
MICHAEL WILCHECK	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
NICHOLAS ROSSY	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
PAMELA HOLLAND	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
PAUL LINDEMANN	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020
PETER GIALLIAS	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
PHILIP ENGEL	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104



ROBERT OLIVEIRA	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
ROBIN KIM	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
ROLF ARNOLD	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SAMUEL KAROTKIN	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SARAH SLAOU	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SCOTT KRONISH	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SIMON PRATT	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
SUNEET SINGH	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
THOMAS MCCARTHY	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
TRACY FELDMAN	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
VASU PINNAMANENI	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
VICTOR D'AGATA	Director	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104
YE XIA	Vice President	1251 AVENUE OF THE AMERICAS NEW YORK, NY 10020-1104

The annual report must be signed by an authorized signer of the entity.

JOHN M. CARROLL

Sign Here

07/09/2019

Date

Signer's Title: SECRETARY