



0004398875

**STATE OF IDAHO****Office of the secretary of state, Lawrence Denney
FOREIGN REGISTRATION STATEMENT (BUSINESS CORPORATION)**

Idaho Secretary of State
PO Box 83720
Boise, ID 83720-0080
(208) 334-2301
Filing Fee: \$100.00

For Office Use Only

-FILED-

File #: 0004398875

Date Filed: 9/2/2021 1:46:36 PM

Foreign Registration Statement (Business Corporation)

Select one: Standard, Expedited or Same Day Service (see descriptions below)

Standard (filing fee \$100)

1. The name this business corporation will use in Idaho is:

Type of Corporation

Foreign Business Corporation

Entity name

NEXPOINT SECURITIES, INC.

The name of the business corporation in its home jurisdiction as shown on the attached certificate of existence/good standing:
NEXPOINT SECURITIES, INC.

Upload or Mail a one page PDF of a Certificate of Existence/Good Standing from the home jurisdiction dated within 90 days of today.

2. Home Jurisdiction

The jurisdiction of formation is:

DELAWARE

3. The street address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:

Street Address

1209 ORANGE STREET
WILMINGTON, DE 19801

4. The mailing address of its domestic principal office (if required by the laws of the jurisdiction of formation) is:

Mailing Address

1209 ORANGE STREET
WILMINGTON, DE 19801

5. The complete street address of the principal office is:

Principal Office Address

300 CRESCENT CT, STE 700
DALLAS, TX 75201

6. The mailing address of the principal office is:

Mailing Address

300 CRESCENT CT
STE 700
DALLAS, TX 75201-7849

7. Registered Agent Name and Address

Registered Agent

CT CORPORATION SYSTEM
Commercial Registered Agent

Physical Address

921 S ORCHARD ST
STE G
BOISE, ID 83705

Mailing Address

921 S ORCHARD ST
STE G
BOISE, ID 83705

☒ I affirm that the registered agent appointed has consented to serve as registered agent for this entity.

8. Governors

Name	Title	Address
ERIC HOLT	CCO/Director	300 CRESCENT CT, STE 700 DALLAS, TX 75201
DUSTIN NORRIS	PRESIDENT	300 CRESCENT CT, STE 700 DALLAS, TX 75201



FRANK WATERHOUSE	TREASURER	300 CRESCENT CT, STE 700 DALLAS, TX 75201
BRIAN MITTS	SECRETARY	300 CRESCENT CT, STE 700 DALLAS, TX 75201
BRIAN GRAIME	VP OF INSURANCE PRODUCTS	300 CRESCENT CT, STE 700 DALLAS, TX 75201

Signature of individual authorized by the entity to sign:

ERIC HOLT 09/03/2021

Sign Here _____ Date _____

Job Title: CCO and Director

Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "NEXPOINT SECURITIES, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE THIRTIETH DAY OF AUGUST, A.D. 2021.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



5170152 8300

SR# 20213118636

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature of Jeffrey W. Bullock in black ink, written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 204042259

Date: 08-30-21