



0004832418

**STATE OF IDAHO***Office of the secretary of state, Lawrence Denney***ANNUAL REPORT**

Idaho Secretary of State
PO Box 83720
Boise, ID 83720-0080
(208) 334-2301
Filing Fee: \$0.00

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File #: 0004832418

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Entity Name and Mailing Address:

Entity Name: FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.
Foreign Name (name in home jurisdiction): FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.
The file number of this entity on the records of the Idaho Secretary of State is: 0000297091
Address: FINANCE/TAX
9509 KEY WEST AVE
ROCKVILLE, MD 20850

Entity Details:

Entity Status: Active-Good Standing
This entity is organized under the laws of: DELAWARE
If applicable, the old file number of this entity on the records of the Idaho Secretary of State was: C95885

The registered agent on record is:

Registered Agent: CORPORATION SERVICE COMPANY
Commercial Registered Agent
Physical Address
1305 12TH AVE RD
NAMPA, ID 83686
Mailing Address
1305 12TH AVE RD
NAMPA, ID 83686

Agent or Address Change

☐ Select if you are appointing a new agent.

Corporate Officers and Directors:

Name	Title	Business Address
☒ BILL WOLLMAN	Director	1735 K STREET NW WASHINGTON, DC 20006
☒ BRAD AHRENS	Director	1735 K STREET NW WASHINGTON, DC 20006
☒ CAMILLE M BUSETTE	Director	1775 MASSACHUSETTS AVE NW BROOKING INSTITUTION WASHINGTON, DC 20036
☒ DEBORAH BAILEY	Director	1200 CALIFORNIA ST APT 19C SAN FRANCISCO, CA 94109
☒ DENISE DOMBAY	Vice President	1735 K STREET NW WASHINGTON, DC 20006
☒ EILEEN K MURRAY	Director	79 TWEED BLVD BRIDGEWATER ASSOCIATES NYACK, NY 10956



■ ERIC MOLL	Director	401 E CITY AVE STE 815 BALA CYNWYD, PA 19004
■ ETHIOPIS TAFARA	Director	2121 PENNSYLVANIA AVE NW MULTILATERAL INVESTMENT GUARANTEE AGENCY WASHINGTON, DC 20433
■ GERALDINE M WALSH	Director	1735 K STREET NW WASHINGTON, DC 20006
■ GREG RUPPERT	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ GREGORY J DEAN JR	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ HILLARY A SALE	Director	600 NEW JERSEY AVE NW GEORGETOWN UNIVERSITY, OFFICER OF FACULTY SUPPORT WASHINGTON, DC 20001
■ JAMES D NAGENGAST	Director	12325 PORT GRACE BLVD SECURITIES AMERICA, INC. LA VISTA, NE 68128
■ JAMES T CROWLEY	Director	1 PERSHING PLAZA PERSHING ADVISOR SOLUTIONS LLC JERSEY CITY, NJ 07399
■ JESSICA HOPPER	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ JOHN (JACK) EHNES	Director	100 WATERFRONT PL CALSTRS WEST SACRAMENTO, CA 95605
■ JONATHAN S SOKOBIN	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ KATHRYN RUEMMLER	Director	200 W ST GOLDMAN SACHS & CO., LLC NEW YORK, NY 10282
■ LANCE F DRUMMOND	Director	14263 NOLEN LN CHARLOTTE, NC 28277
■ LINDE MURPHY	Director	M.E. ALLISON & CO., INC. 950 E BASSE RD 2ND FL SAN ANTONIO, TX 78209
■ MARCIA E ASQUITH	Secretary	1735 K STREET NW WASHINGTON, DC 20006
■ MARCIA E ASQUITH	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ MAUREEN DELANEY	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ MAUREEN JENSEN	Director	117 CAMERON ST ONTARIO SECURITIES COMMISSION TORONTO, ON N0H 2P0 Canada
■ MORTIMER J BUCKLEY	Director	100 VANGUARD BLVD MALVERN, PA 19355
■ PAIGE W PIERCE	Director	MC LAUGHLIN RYDER INVESTMENTS, INC. 1421 PRINCE ST STE 200 ALEXANDRIA, VA 22314



■ PEGGY HO	Director	9 DONIZETTI ST COMMONWEALTH FINANCIAL NETWORK WELLESLEY, MA 02482
■ RAINA L WASHINGTON	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ RICHARD W BERRY	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ ROBERT A RENNER	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ ROBERT COLBY	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ ROBERT W COOK	Director	1735 K STREET NW WASHINGTON, DC 20006
■ ROBERT W COOK	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ SAMIR DESHPANDE	Director	500 W MAIN ST HUMANA INC. LOUISVILLE, KY 40202
■ STEPHANIE DUMONT	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ STEVEN J RANDICH	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ TIMOTHY C SCHEVE	Director	JANNEY MONTGOMERY SCOTT LLC 1717 ARCH ST 22ND FL PHILADELPHIA, PA 19103
■ TODD T DIGANCI	Vice President	1735 K STREET NW WASHINGTON, DC 20006
■ TODD T DIGANCI	Treasurer	1735 K STREET NW WASHINGTON, DC 20006
■ WENDY LANTON	Director	HEROLD & LANTERN INVESTMENTS INC. 35 PINELAWN RD STE 101E MELVILLE, NY 11747
■ WENDY LANTON	Director	35 PINELAWN ROAD SUITE 101E MELVILLE, NY 11747

The annual report must be signed by an authorized signer of the entity.

Job Title: SENIOR VICE PRESIDENT

ROBERT A RENNER

Sign Here

07/27/2022

Date