

No. C 143686		Due no later than May 31, 2012		2. Registered Agent and Address (NO PO BOX)			
Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FILING FEE IF RECEIVED BY DUE DATE		Annual Report Form 1. Mailing Address: Correct in this box if needed. BAL INVESTMENT & ADVISORY, INC. C GAIL SHINN 8015 W KENTON CIR NC3-191-03-01 HUNTERSVILLE NC 28078 USA		CT CORPORATION SYSTEM 1111 W JEFFERSON STE 530 BOISE ID 83702 USA			
				3. <u>New</u> Registered Agent Signature:*			
4. Corporations: Enter Names and Business Addresses of President, Secretary, and Directors. Treasurer (optional).							
Office Held	Name	Street or PO Address	City	State	Country	Postal Code	
PRESIDENT	RICHARD R FLEISCHER	150 N COLLEGE ST NC1-028-17-06	CHARLOTTE	NC	USA	28255	
SECRETARY	CHRISTINE M COSTAMAGNA	150 COLLEGE ST NC1-028-17-06	CHARLOTTE	NC	USA	28255	
TREASURER	DAVID G GAUTHIER	150 N COLLEGE ST NC1-028-17-06	CHARLOTTE	NC	USA	28255	
DIRECTOR	JOHN J GOULD	150 N COLLEGE ST NC1-028-17-06	CHARLOTTE	NC	USA	28255	
5. Organized Under the Laws of: DE C 143686		6. Annual Report must be signed.* Signature: Donna Desouza Name (type or print): Donna Desouza Date: 03/16/2012 Title: Svp					
Processed 03/16/2012		* Electronically provided signatures are accepted as original signatures.					