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| No. C102551 | Annual Report Form 1996 Due No Later Than November 30, | 2. Registered Agent and Office NOT A P.O. BOX |
| Return to: SECRETARY OF STATE 700 WEST JEFFERSON PO BOX 83720 BOISE, ID 83720-0080 NO FEE REQUIRED ** FINAL NOTICE ** | 1. Mailing Address - Please Correct, If Not Correct D.E. FREY & COMPANY, INC. DALE E FREY 1700 LINCOLN ST STE 2200 DENVER CO 80203 | CT CORPORATION SYSTEM 300 N 6TH ST BOISE ID 83701 3. Organized Under the Laws of: DE C102551 |

4. Corporations: Enter Names and Addresses of **President, Secretary and Directors**
Limited Liability Companies: Enter Names and Addresses of ☐ **Managers** or ☐ **Members** (check one)

| Office held | Name | Street or P.O. Address | City | State | Zip |
|-------------|--------------|------------------------|--------|-------|-------|
| Pres/CEO | Dale E. Frey | 1700 Lincoln, Ste 2200 | Denver | CO | 80203 |
| Secy | Lynn Julian | " " | " " | " " | " " |
| CFO | Paul Hocevar | " " | " " | " " | " " |

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| 5. NATURE OF BUSINESS BROKER-DEALER | 6. I certify that this Annual Report has been examined by me and is to the best of my knowledge true, correct and complete. Signature <u><i>Paul L. Hocevar</i></u> Date <u>12/7/96</u> Name (Typed or Printed) <u>Paul L. Hocevar</u> Title <u>CFO</u> |
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ISSUED: 10-05-1996

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↓ DO NOT TAPE OR STAPLE ↓